

# Anonymous, Robust Post-Quantum Public Key Encryption

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Applied Cryptography Group  
ETH Zurich



Joint work with Paul Grubbs and Kenneth G. Paterson  
[Full version of paper: <https://eprint.iacr.org/2021/708.pdf>]

# NIST PQC Round-3 KEMs

## PQC Standardization Process: Third Round Candidate Announcement

**NIST is announcing the third round finalists of the NIST Post-Quantum Cryptography Standardization Process. More details are included in NISTIR 8309.**

July 22, 2020

It has been almost a year and a half since the second round of the NIST PQC Standardization Process began. After careful consideration, NIST would like to announce the candidates that will be moving on to the third round.

Third Round Finalists	Alternate Candidates
<a href="#">Public-Key Encryption/KEMs</a>	<a href="#">Public-Key Encryption/KEMs</a>
Classic McEliece	BIKE
CRYSTALS-KYBER	FrodoKEM
NTRU	HQC
SABER	NTRU Prime
	SIKE



### ORGANIZATIONS

Information Technology Laboratory

Computer Security Division

Cryptographic Technology Group

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### Third Round Finalists

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Classic McEliece

CRYSTALS-KYBER

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### Alternate Candidates

#### Public-Key Encryption/KEMs

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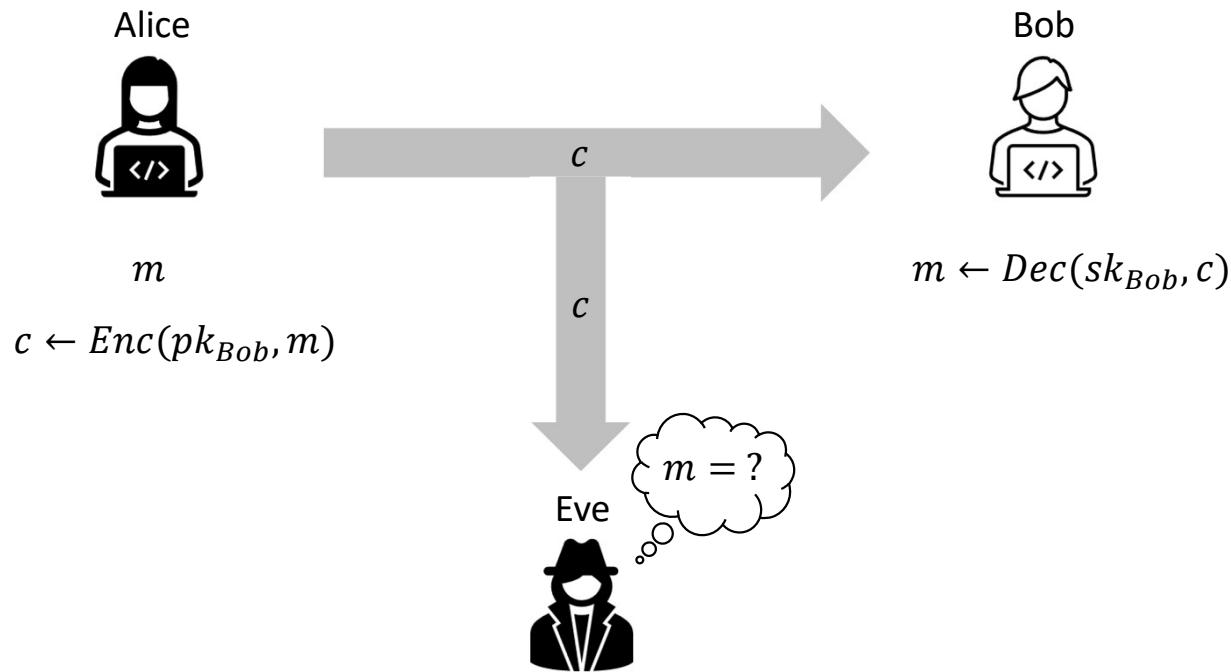
Cryptographic Technology Group

#### 4.A.2 Security Definition for Encryption/Key-Establishment

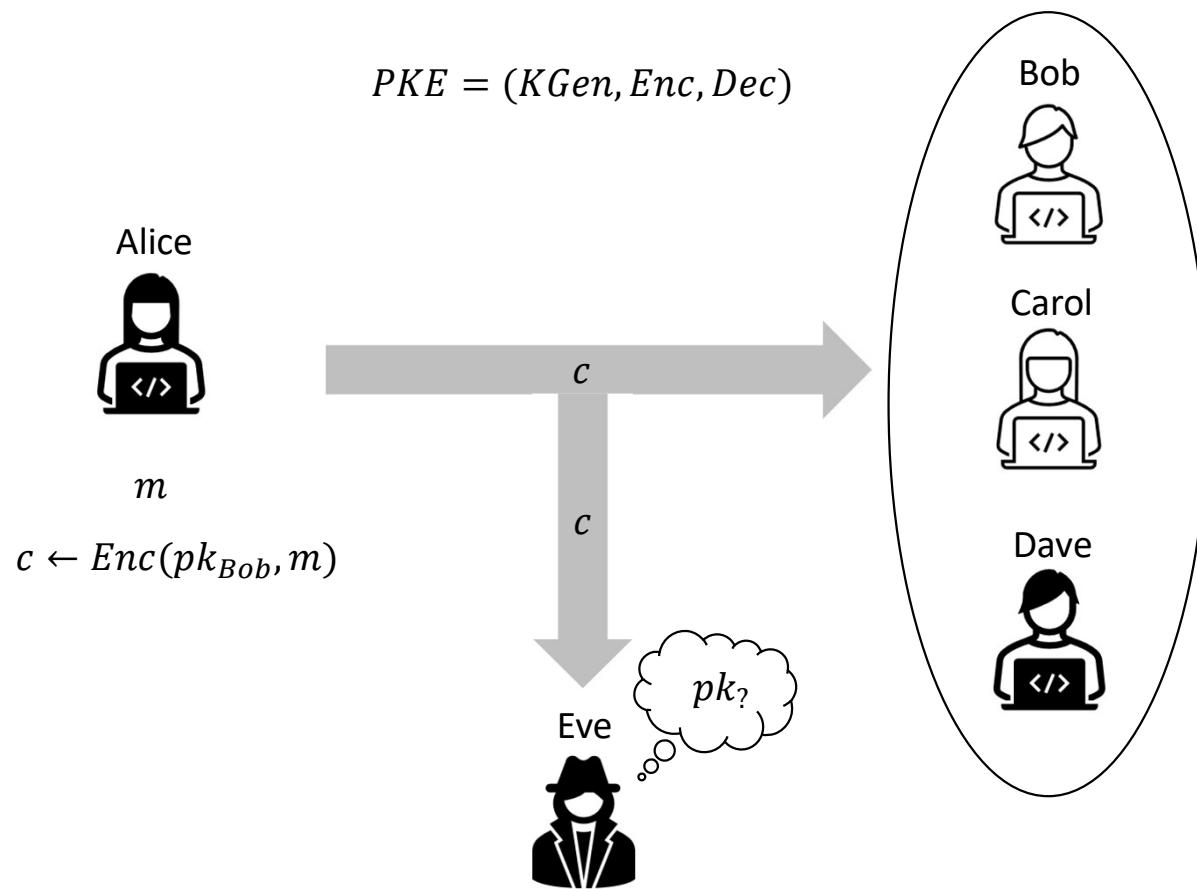
NIST intends to standardize one or more schemes that enable “semantically secure” encryption or key encapsulation with respect to adaptive chosen ciphertext attack, for general use. This property is generally denoted *IND-CCA2 security* in academic literature.

# IND-CCA Security

$$PKE = (KGen, Enc, Dec)$$

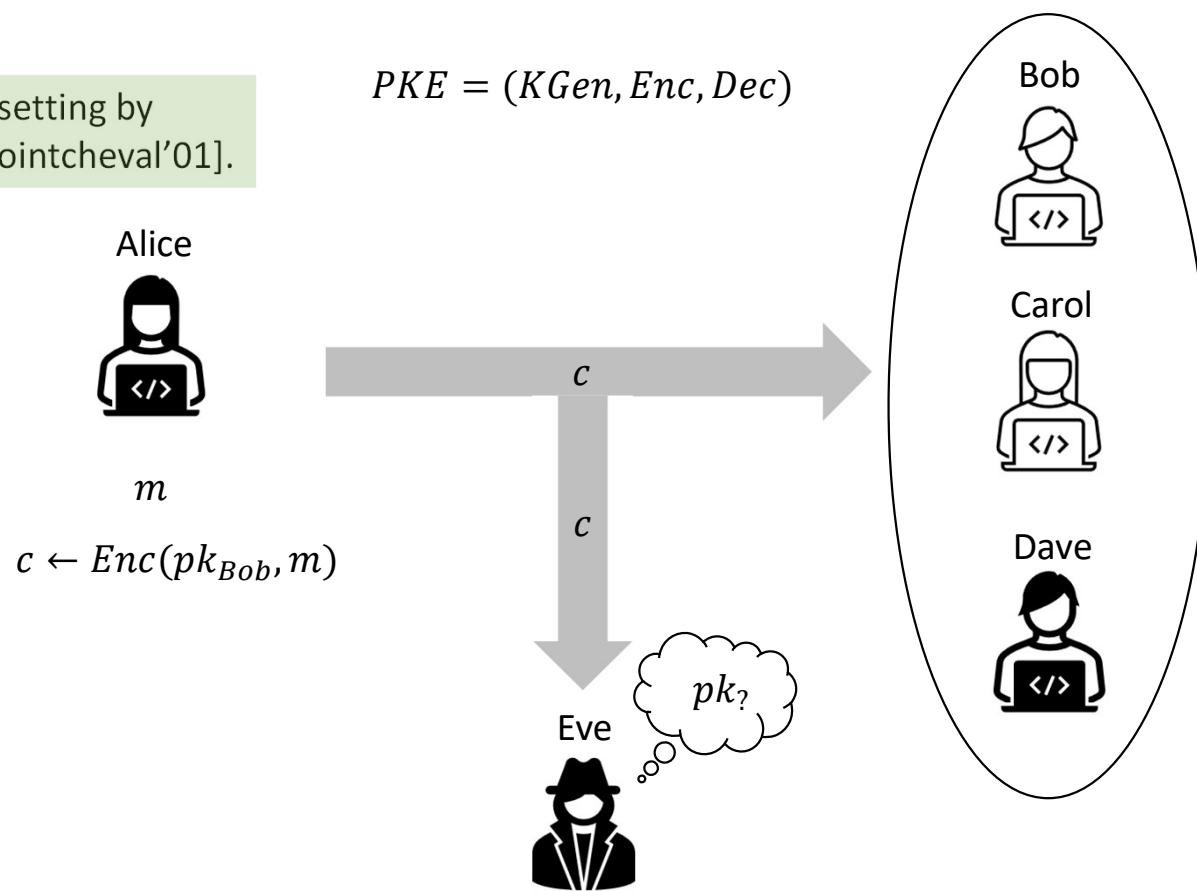


# Anonymity (ANO-CCA security)



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Formalized in a public-key setting by [Bellare-Boldyreva-Desai-Pointcheval'01].



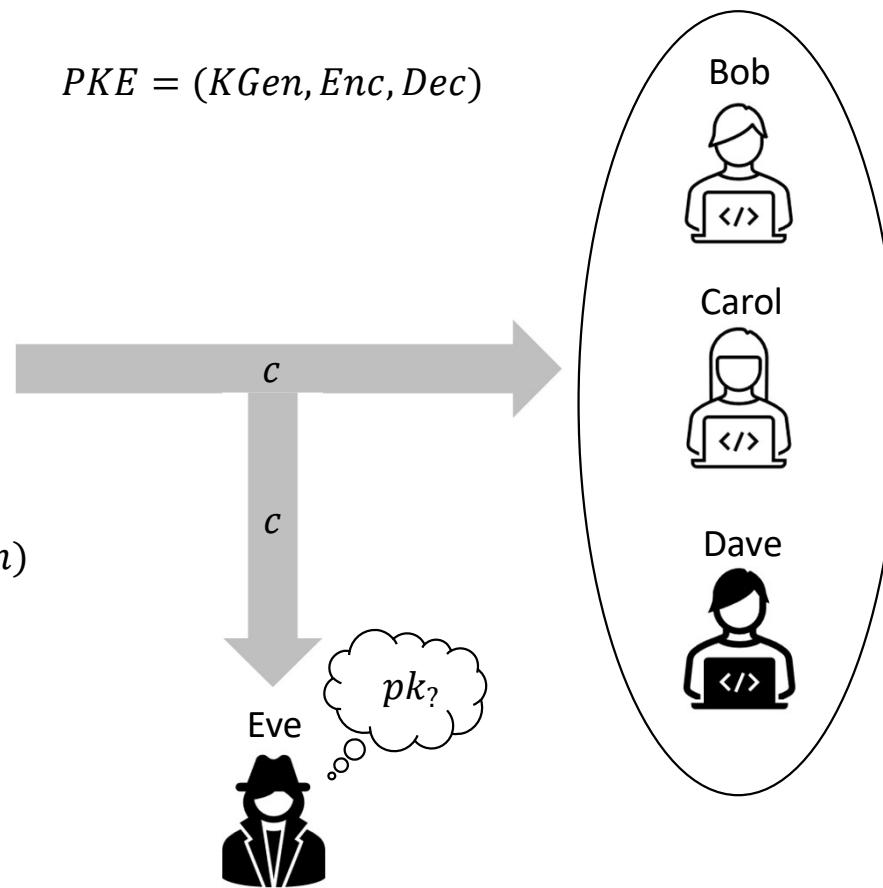
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Alice  
  
 $m$   
 $c \leftarrow Enc(pk_{Bob}, m)$

$PKE = (KGen, Enc, Dec)$



# Anonymity (ANO-CCA security)

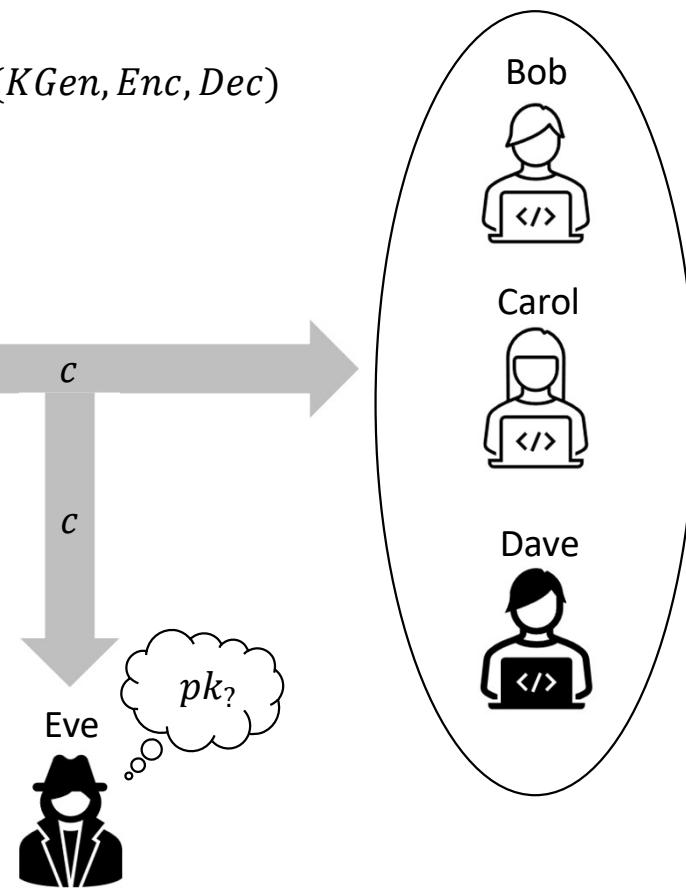
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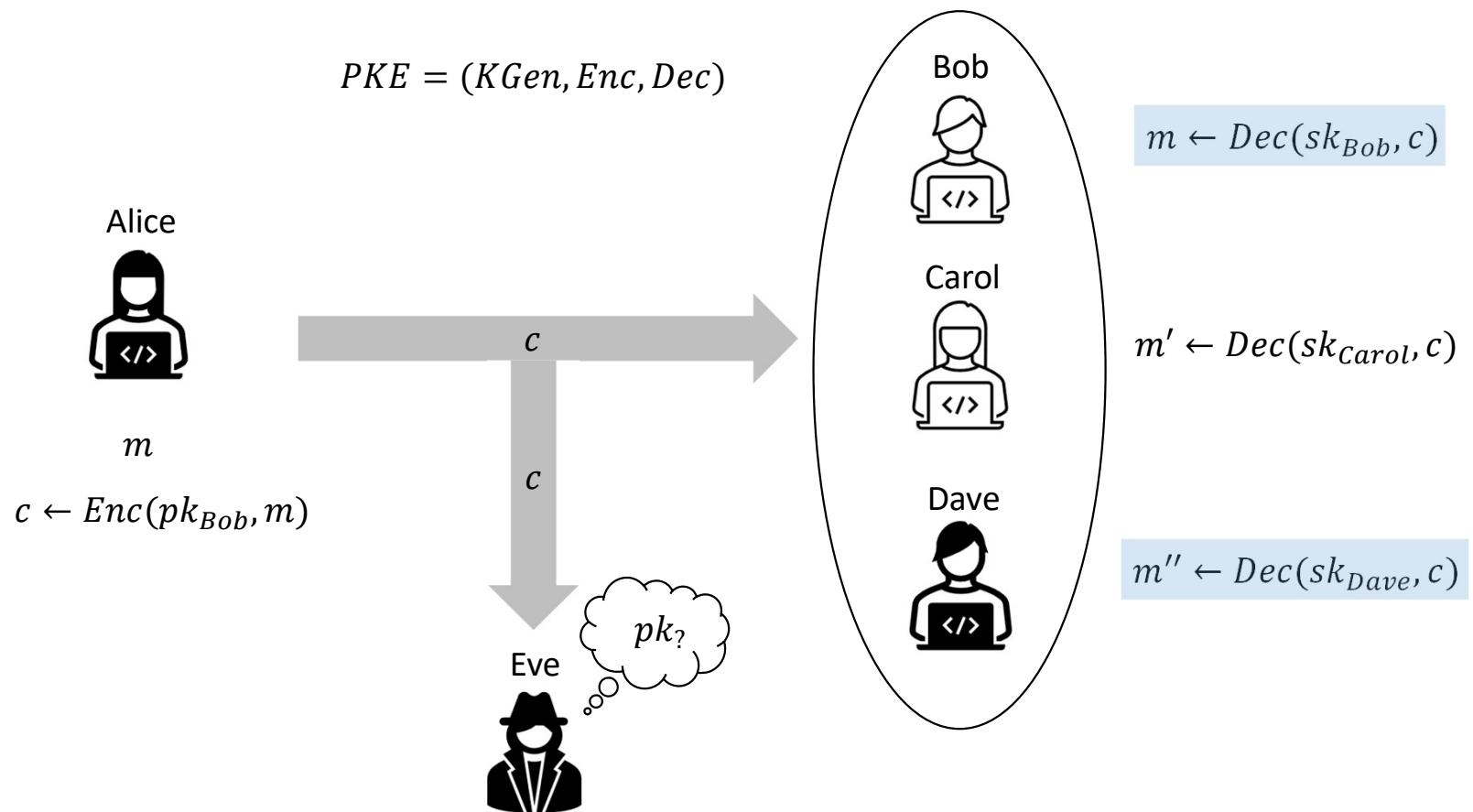
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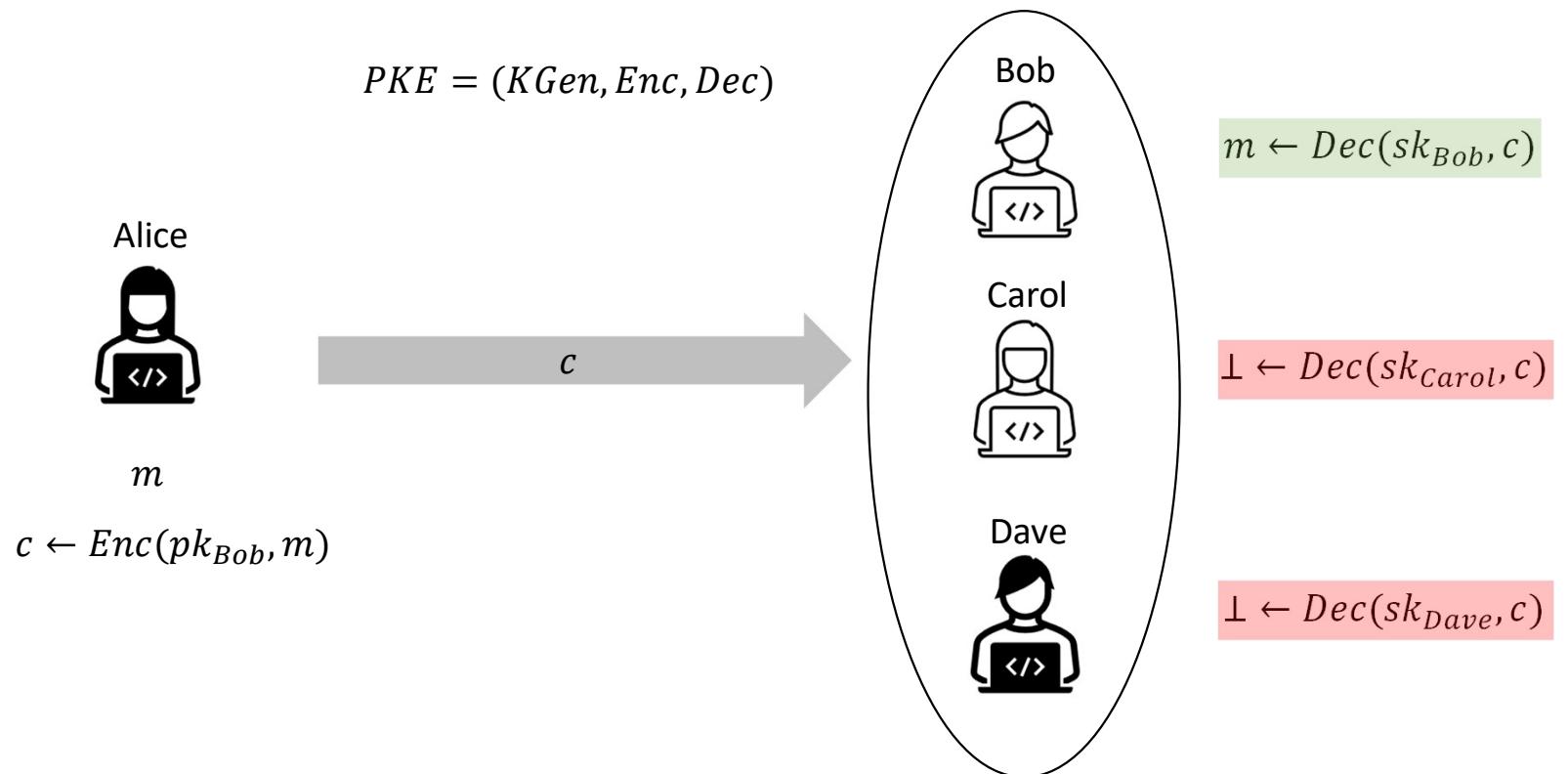
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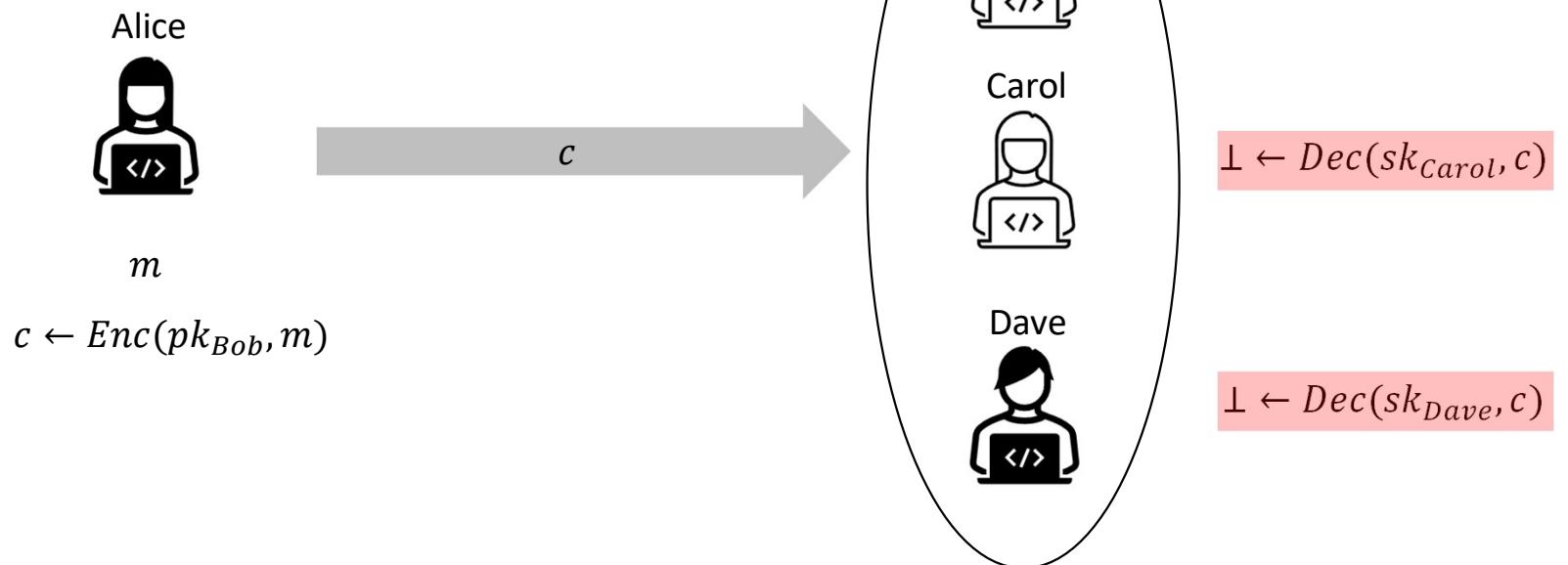


# Robustness (SROB-CCA security)



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Formalized in a public-key setting by [Abdalla-Bellare-Neven'10].



# KEM-DEM Paradigm

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

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$$PKE = (KGen, Enc, Dec)$$



IND-CCA secure

# KEM-DEM Paradigm

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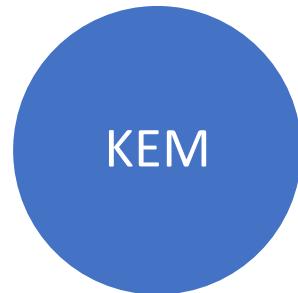
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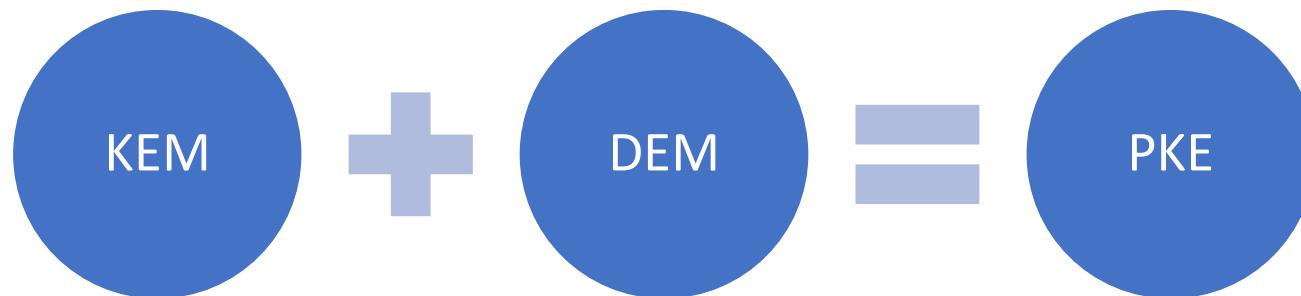
FrodoKEM

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SIKE

$$KEM = (KGen, Encap, Decap) \quad DEM = (Enc^{sym}, Dec^{sym}) \quad PKE = (KGen, Enc, Dec)$$



IND-CCA secure

(one-time) authenticated  
encryption

IND-CCA secure



# KEM-DEM Paradigm

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## Public-Key Encryption/KEMs

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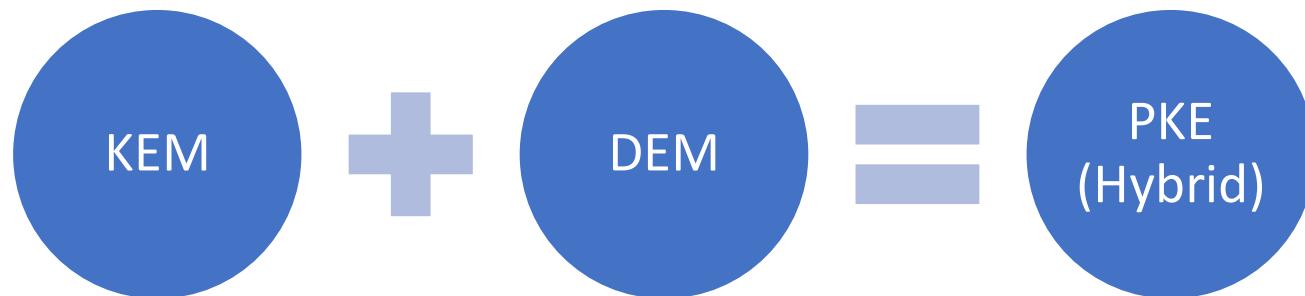
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$$KEM = (KGen, Encap, Decap) \quad DEM = (Enc^{sym}, Dec^{sym}) \quad PKE = (KGen, Enc, Dec)$$



$$(c_{KEM}, k) \leftarrow Encap(pk_{Bob})$$

IND-CCA secure

$$c_{DEM} \leftarrow Enc^{sym}(k, m)$$

(one-time) authenticated  
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$$(c_{KEM}, c_{DEM}) \leftarrow Enc(pk_{Bob}, m)$$

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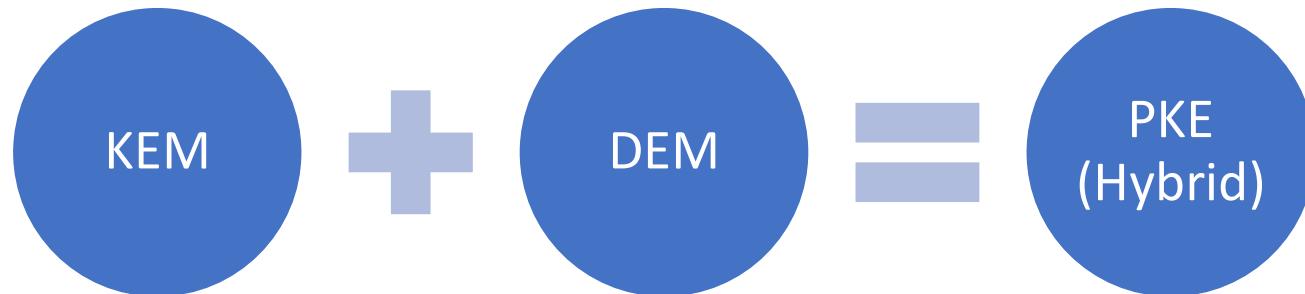
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IND-CCA secure +  
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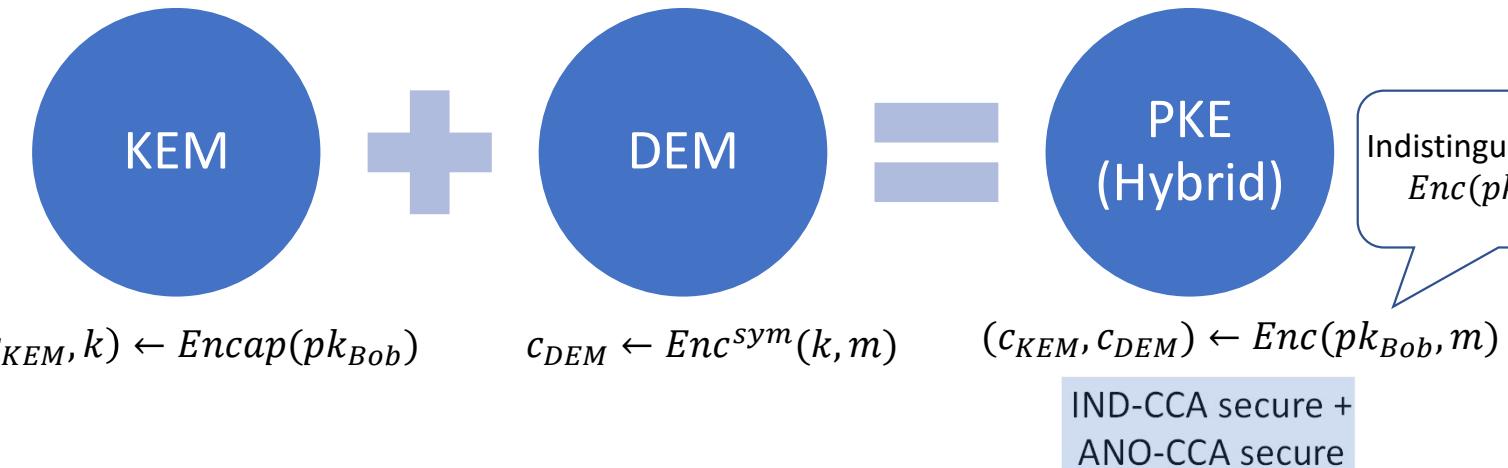
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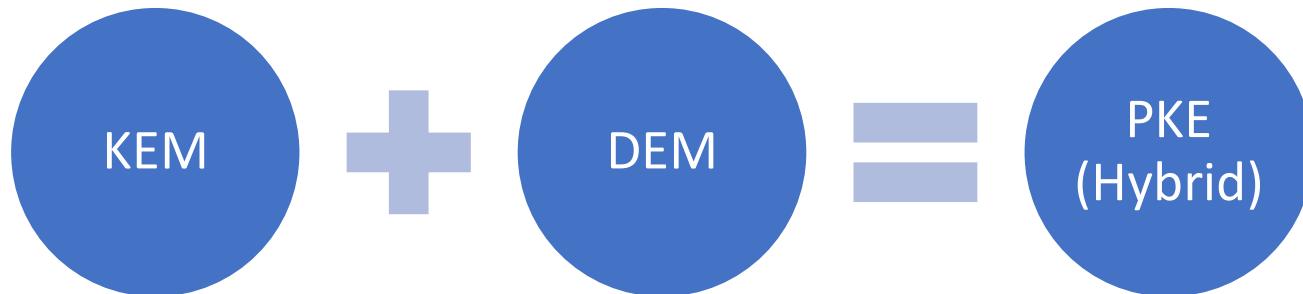
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Shown in [Grubbs-Maram-Paterson'22];  
generalization of [Mohassel'10].

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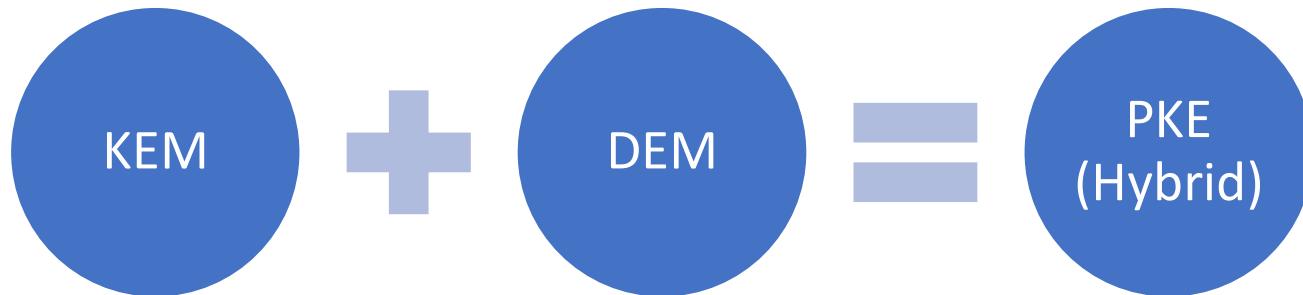
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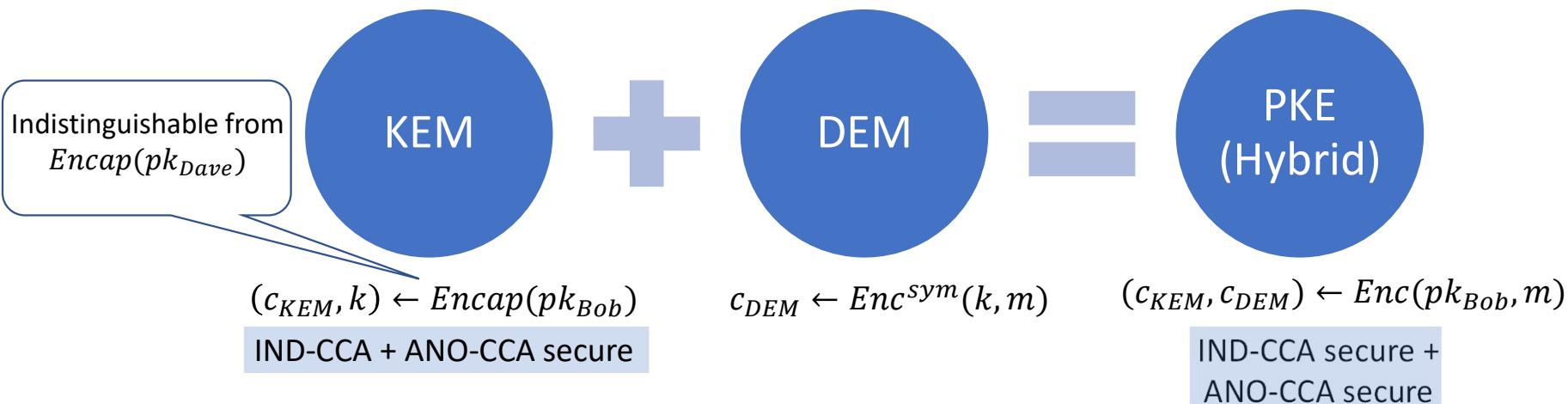
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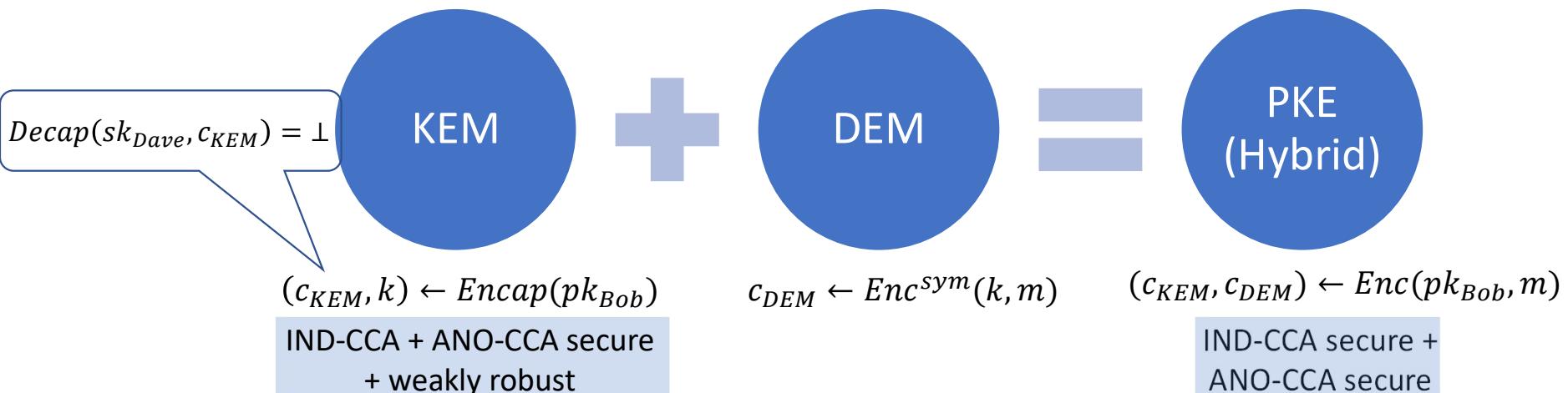
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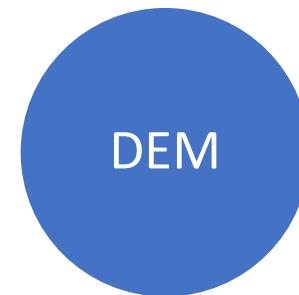
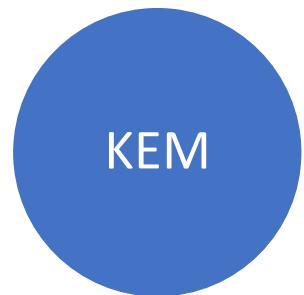
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$(c_{KEM}, k) \leftarrow Encap(pk_{Bob})$   
IND-CCA + ANO-CCA secure  
+ weakly robust

$c_{DEM} \leftarrow Enc^{sym}(k, m)$   
(one-time) authenticated  
encryption

$(c_{KEM}, c_{DEM}) \leftarrow Enc(pk_{Bob}, m)$   
IND-CCA secure +  
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[Mohassel'10] only considered KEMs constructed directly from PKE schemes.

## Public-Key Encryption/KEMs

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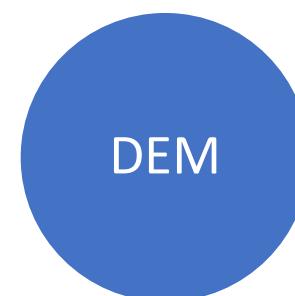
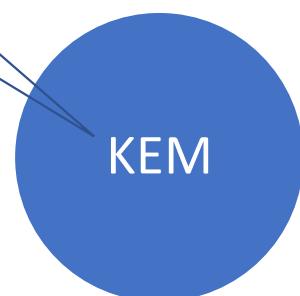
SIKE

Shown in [Grubbs-Maram-Paterson'22]; generalization of [Mohassel'10].

$$KEM = (KGen, Encap, Decap)$$

$$DEM = (Enc^{sym}, Dec^{sym})$$

$$PKE = (KGen, Enc, Dec)$$



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IND-CCA + ANO-CCA secure  
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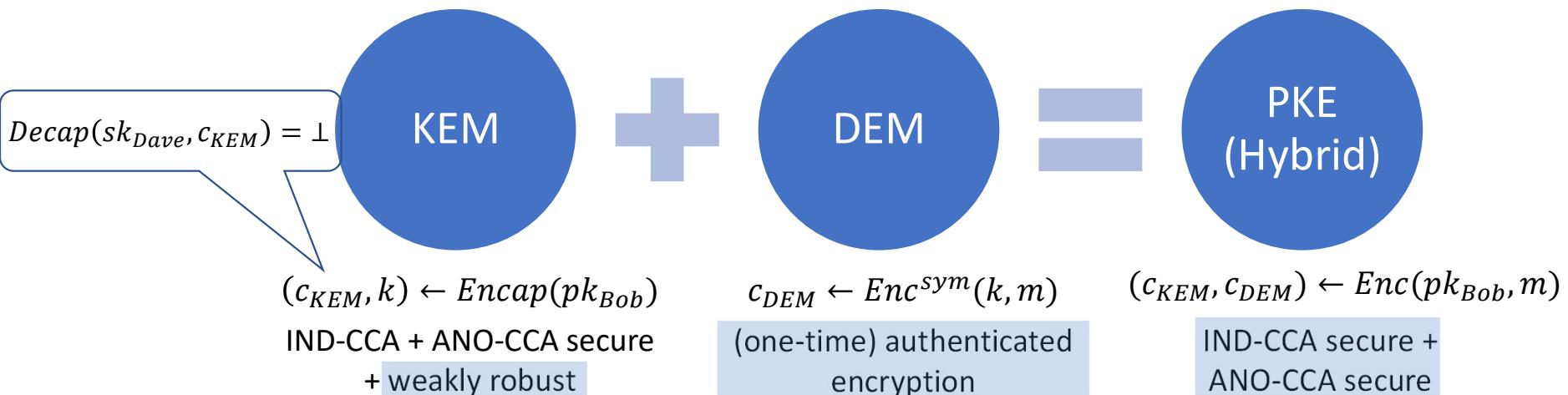
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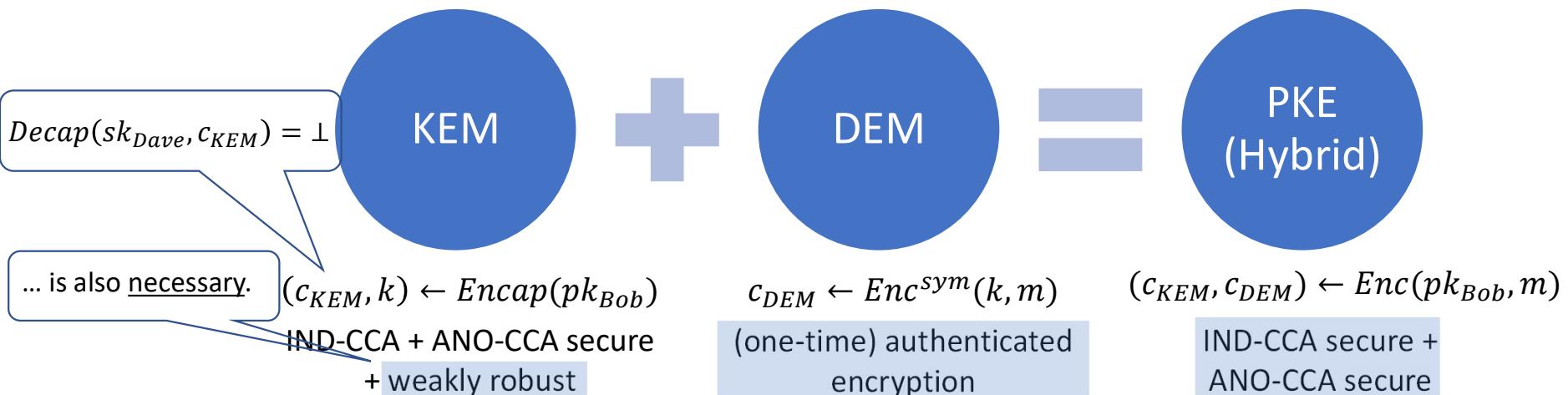
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## Public-Key Encryption/KEMs

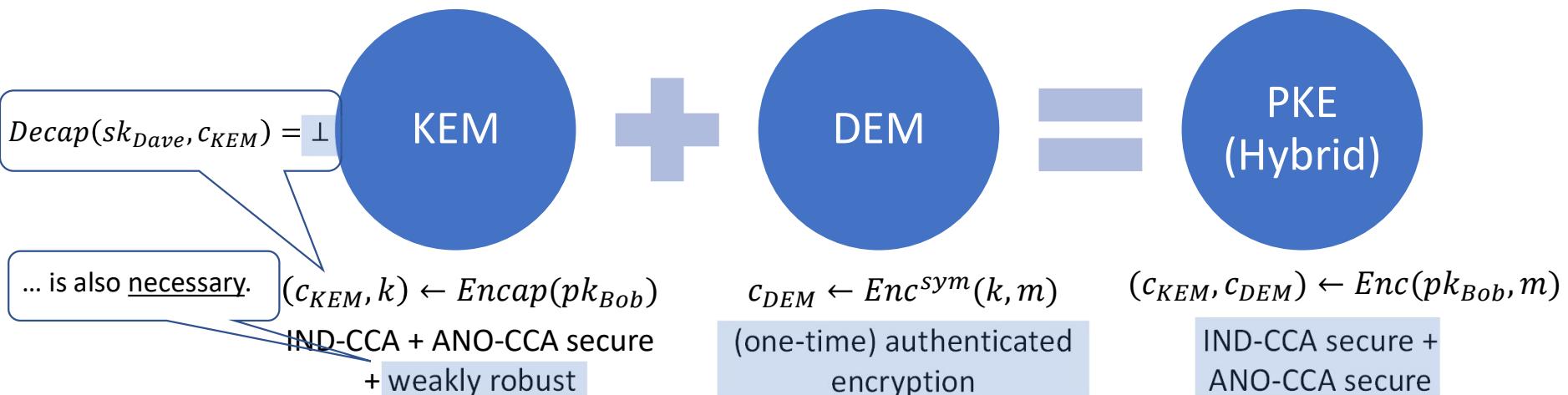
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# KEM-DEM Paradigm

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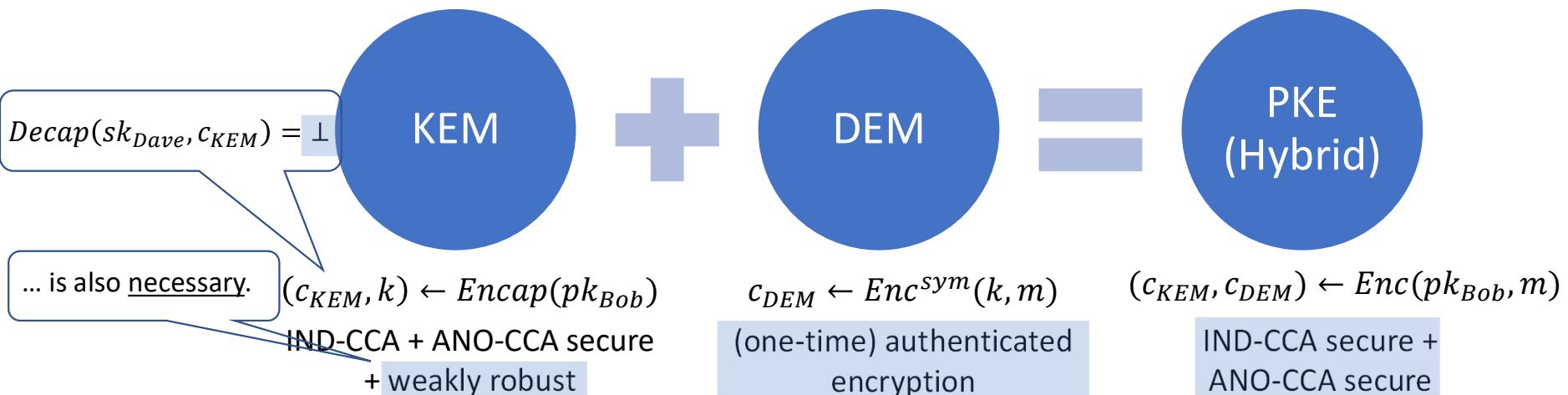
“Implicit-rejection” KEMs!

## Public-Key Encryption/KEMs

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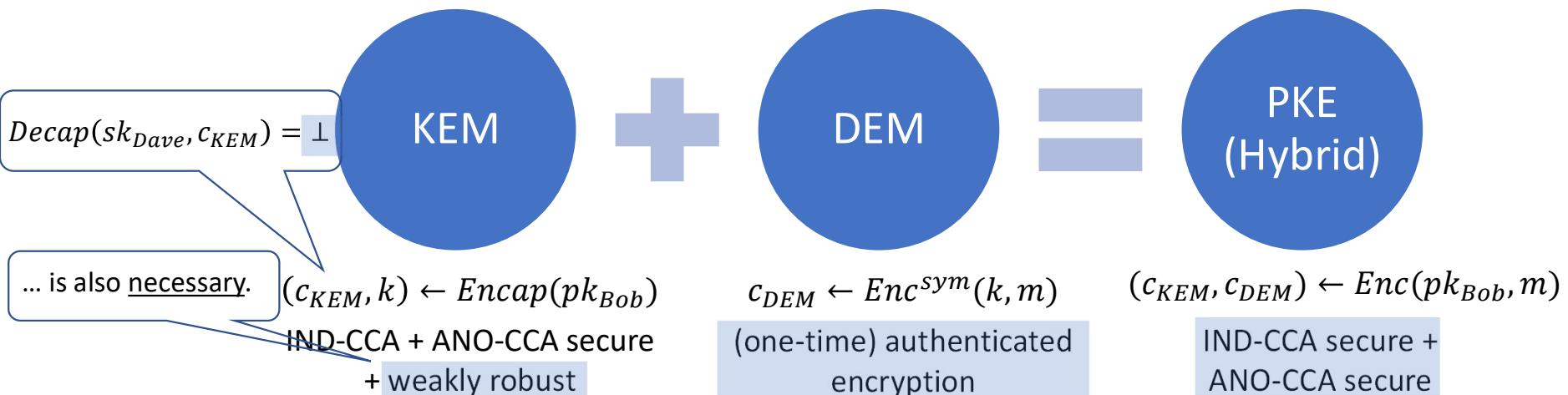
Cannot be even weakly robust.

## Public-Key Encryption/KEMs

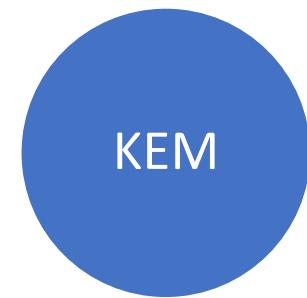
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# Fujisaki-Okamoto Transformation

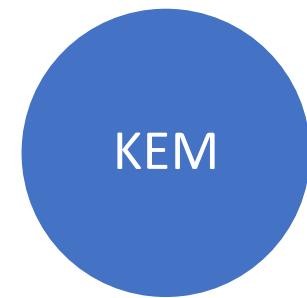


IND-CCA secure

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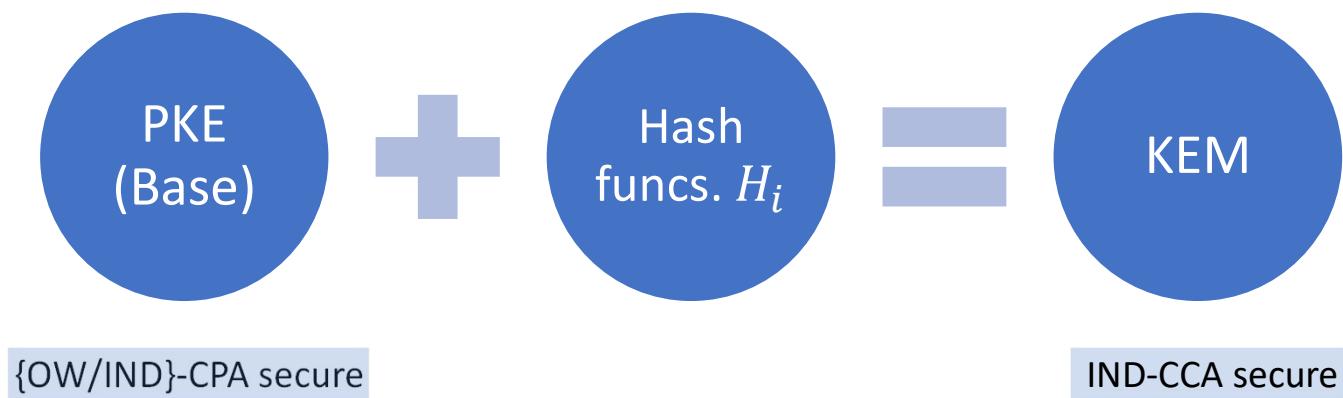


{OW/IND}-CPA secure

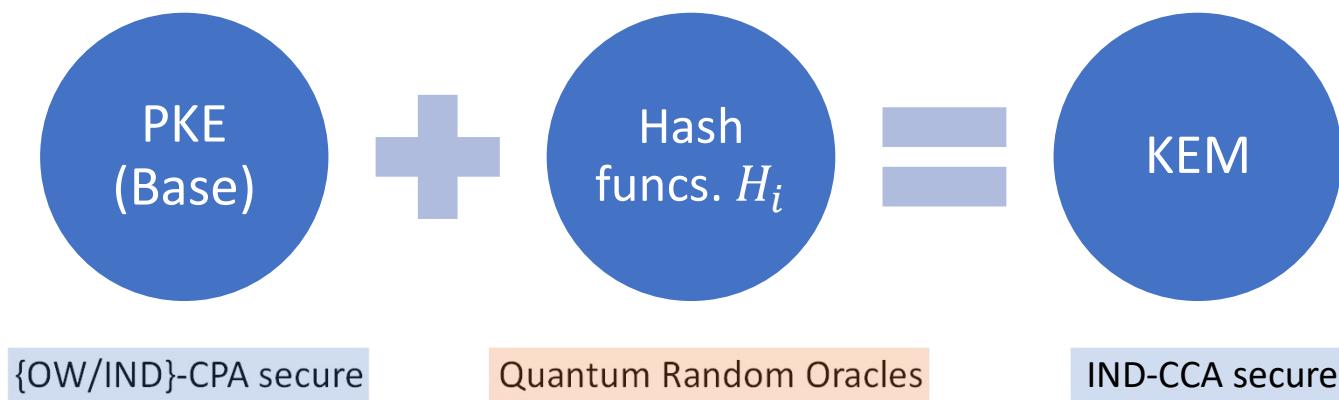


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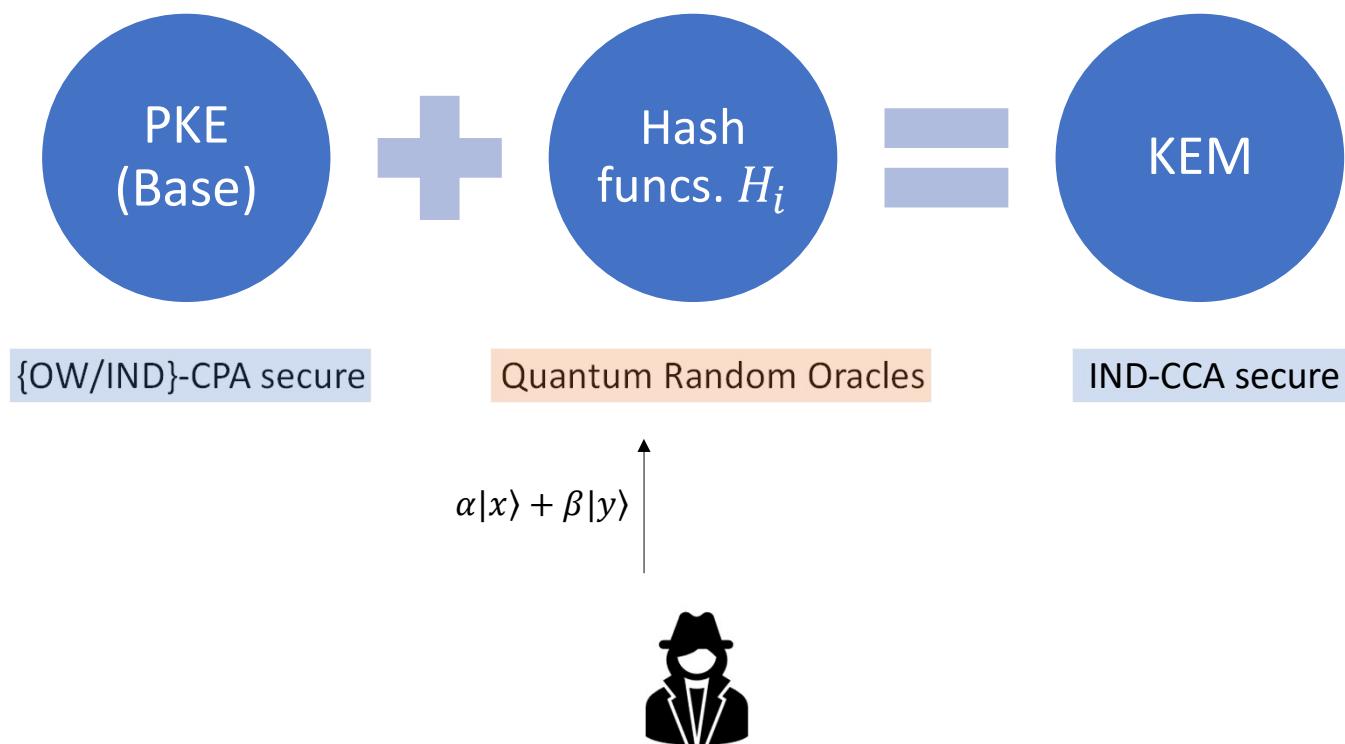
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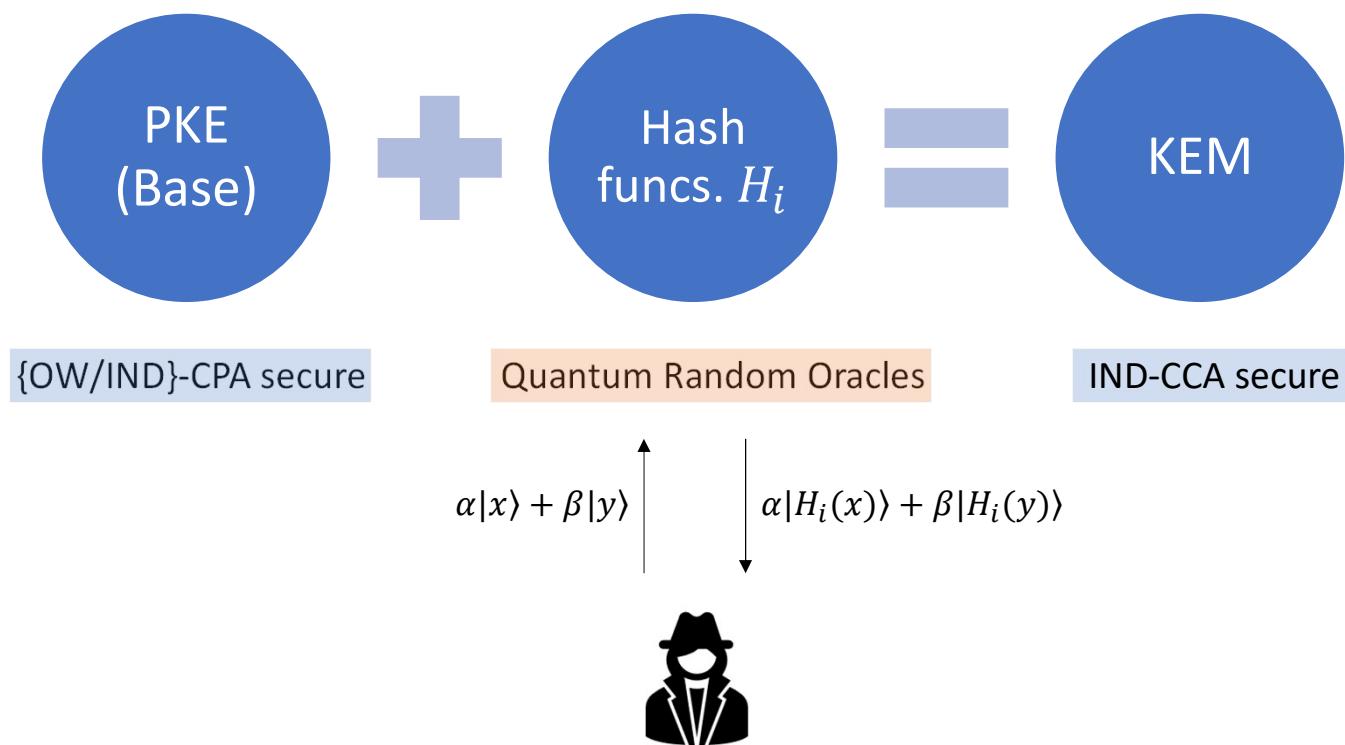
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NTRU

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
2 : $s \leftarrow_{\$} \mathcal{M}$	2 : $c \leftarrow \text{Enc}(\text{pk}, m; G(m))$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
3 : $\text{sk}' = (\text{sk}, s)$	3 : $k \leftarrow H(m, c)$	3 : $c' \leftarrow \text{Enc}(\text{pk}, m'; G(m'))$
4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : <b>return</b> $(c, k)$	4 : <b>if</b> $c' = c$ <b>then</b> 5 : <b>return</b> $H(m', c)$ 6 : <b>else return</b> $H(s, c)$

FO $\not\models$

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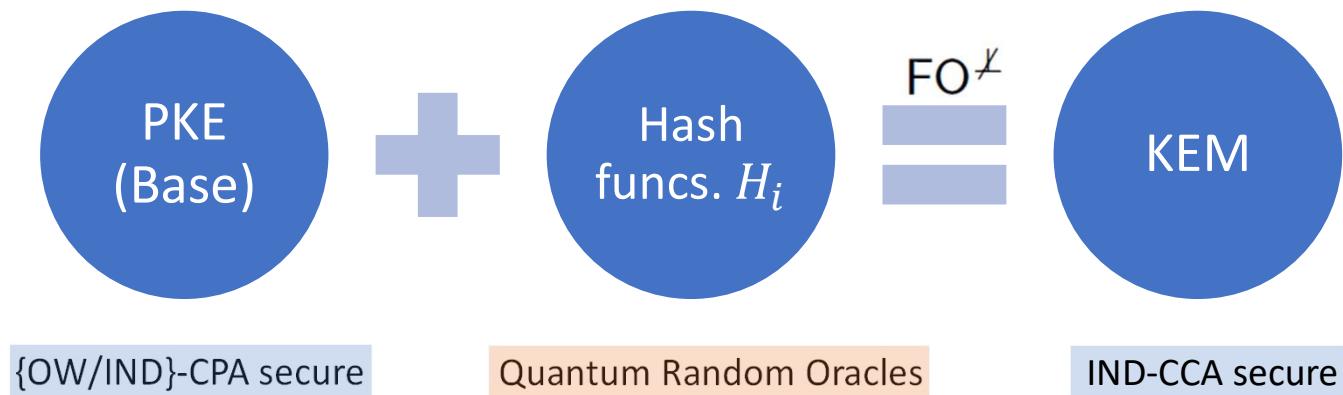
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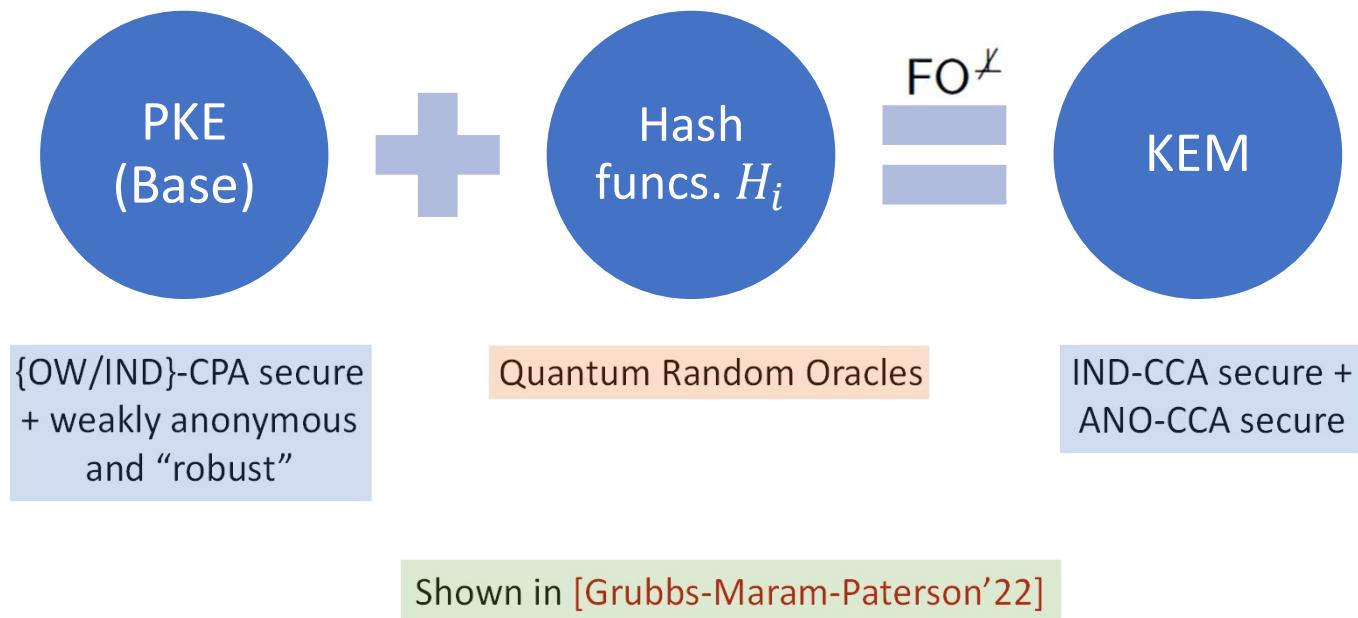
FO $\not\models$

# Anonymity from FO transforms

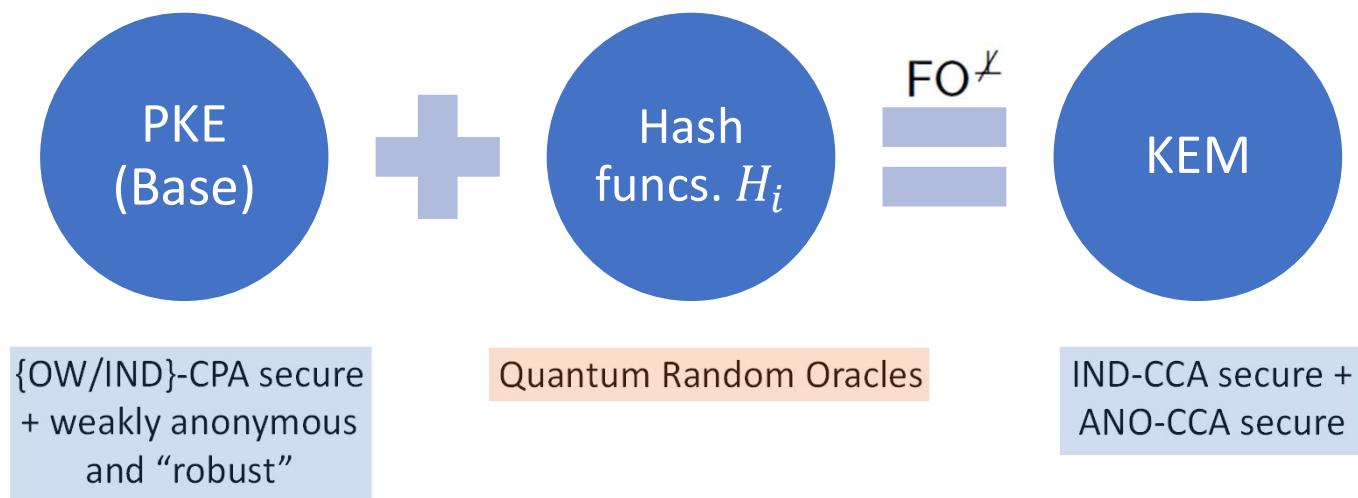


Shown in [Jiang-Zhang-Chen-Wang-Ma'18]

# Anonymity from FO transforms



# Anonymity from FO transforms



Shown in [Grubbs-Maram-Paterson'22]

Extended [Jiang et. al.'18]'s proof techniques from a single-key setting (IND-CCA) to a two-key setting (ANO-CCA).

# KEM-DEM Paradigm

## Public-Key Encryption/KEMs

Classic McEliece  
CRYSTALS-KYBER  
NTRU  
SABER

“Implicit-rejection” KEMs!

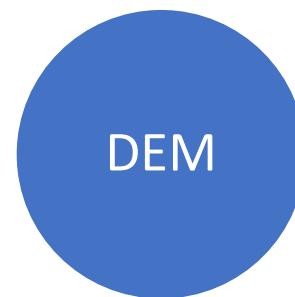
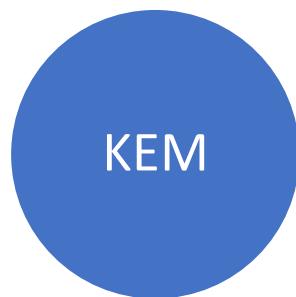
Cannot be even weakly robust.

## Public-Key Encryption/KEMs

BIKE  
FrodoKEM  
HQC  
NTRU Prime  
SIKE

Shown in [Grubbs-Maram-Paterson’22];  
generalization of [Mohassel’10].

$$KEM = (KGen, Encap, Decap) \quad DEM = (Enc^{sym}, Dec^{sym}) \quad PKE = (KGen, Enc, Dec)$$



... is also necessary.

$$(c_{KEM}, k) \leftarrow Encap(pk_{Bob})$$

IND-CCA + ANO-CCA secure  
+ weakly robust

$$c_{DEM} \leftarrow Enc^{sym}(k, m)$$

(one-time) authenticated  
encryption

$$(c_{KEM}, c_{DEM}) \leftarrow Enc(pk_{Bob}, m)$$

IND-CCA secure +  
ANO-CCA secure

# KEM-DEM Paradigm

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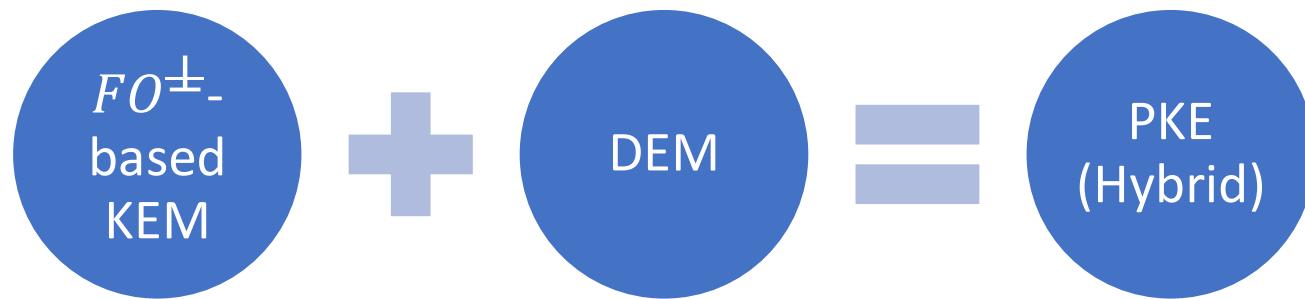
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IND-CCA + ANO-CCA secure  
+  $\gamma$ -spread base PKE

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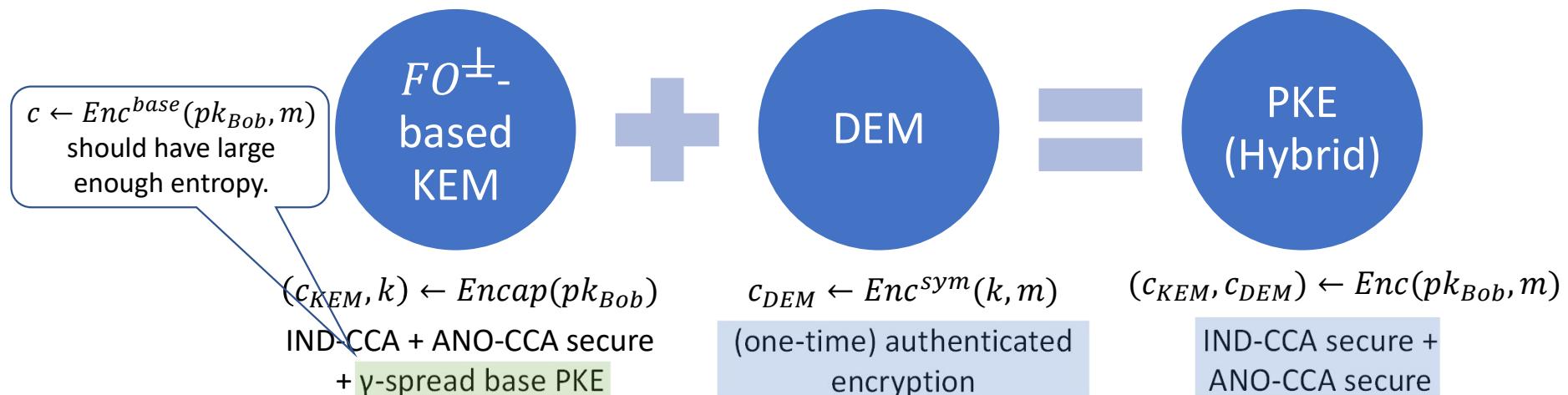
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# Classic McEliece (CM)

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

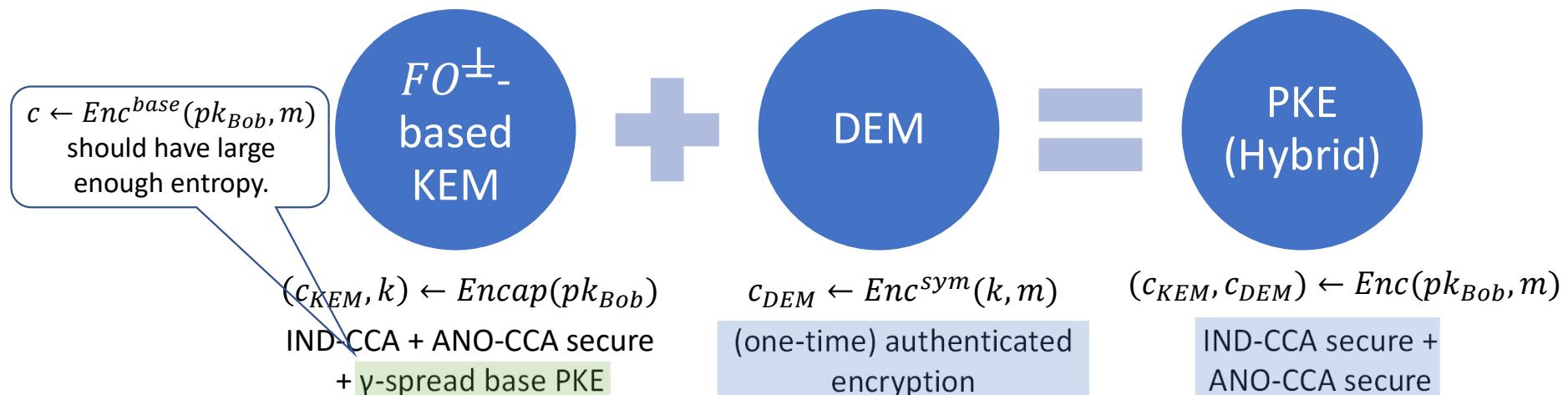
FrodoKEM

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NTRU Prime

SIKE

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# Classic McEliece (CM)

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

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## Public-Key Encryption/KEMs

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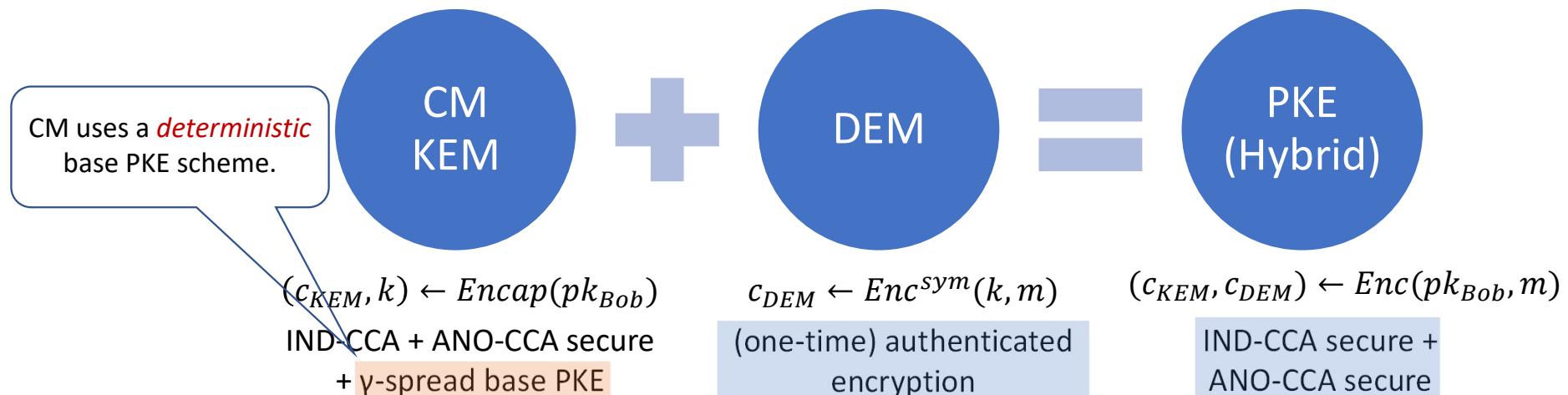
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HQC

NTRU Prime

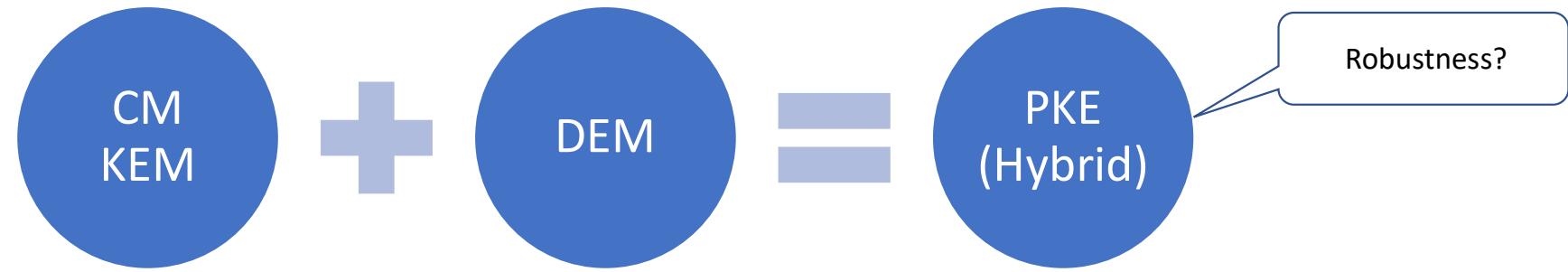
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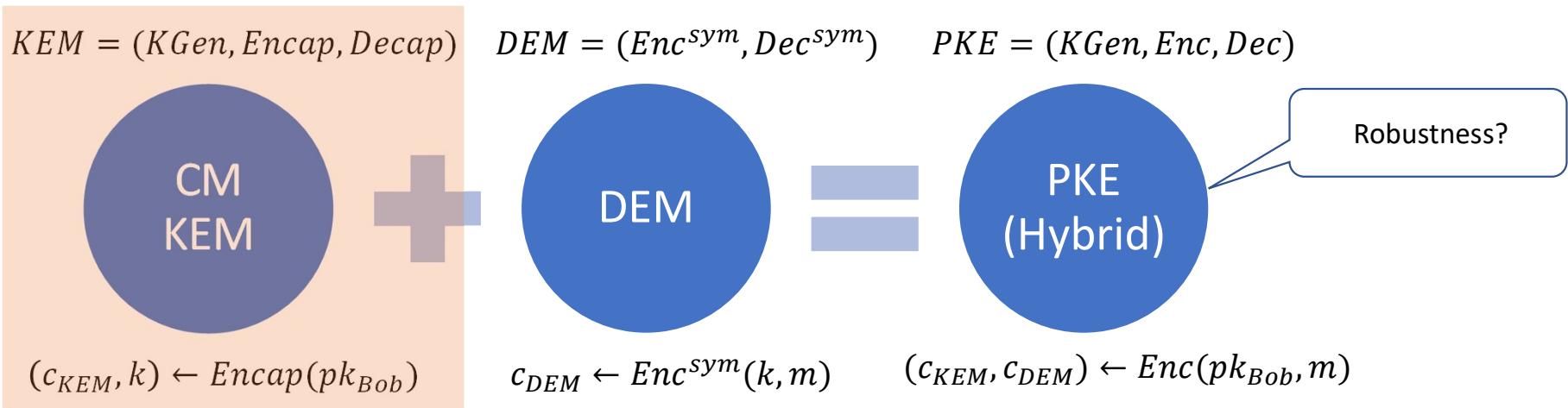


$$(c_{KEM}, k) \leftarrow Encap(pk_{Bob})$$

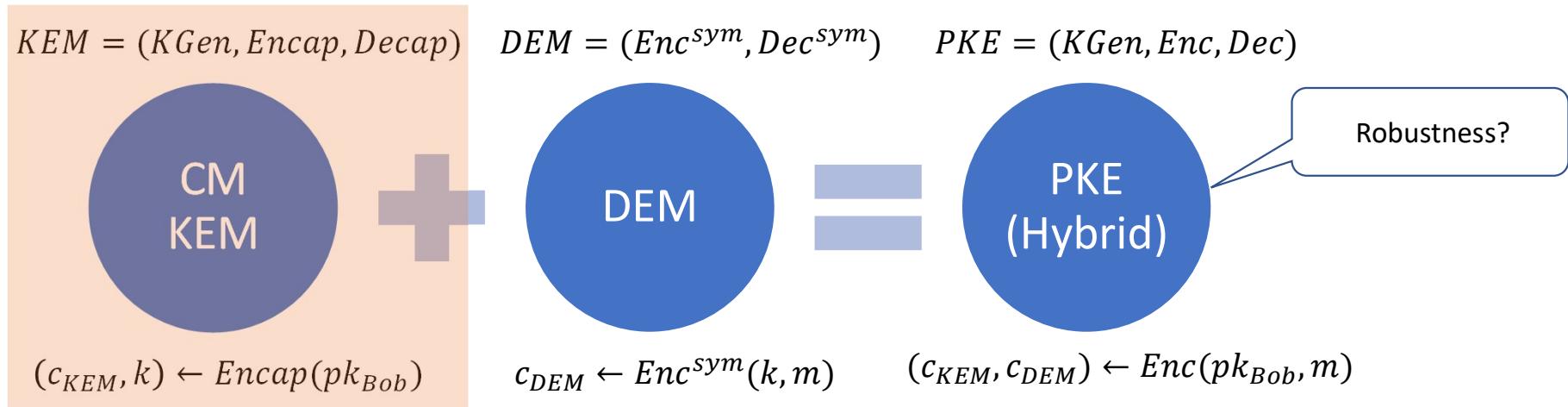
$$c_{DEM} \leftarrow Enc^{sym}(k, m)$$

$$(c_{KEM}, c_{DEM}) \leftarrow Enc(pk_{Bob}, m)$$

# Classic McEliece (CM)

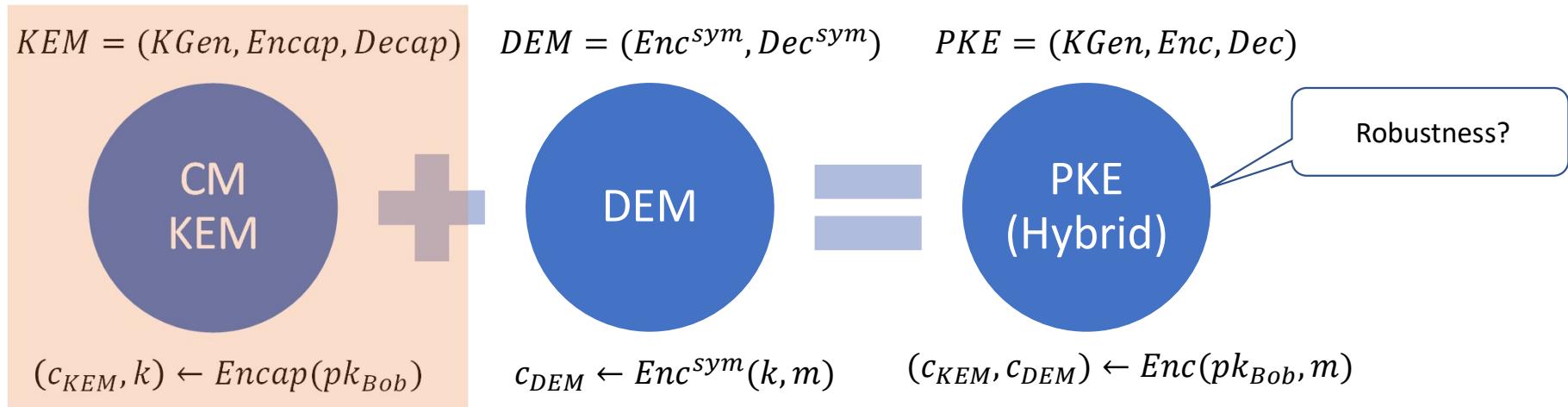


# Classic McEliece (CM)



For *any* message  $m$ ,  
we can construct a ciphertext  
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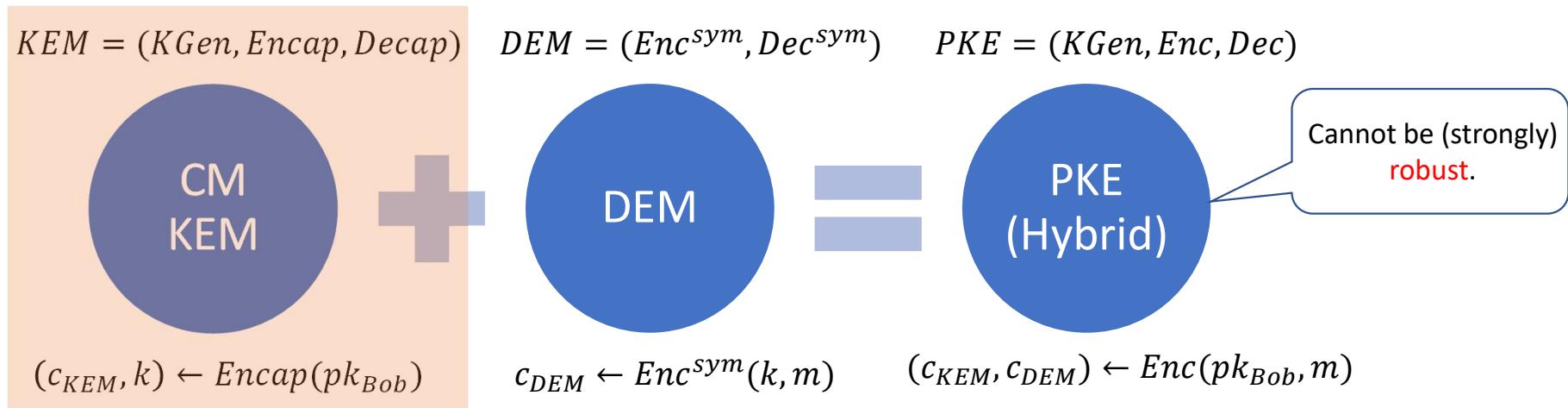
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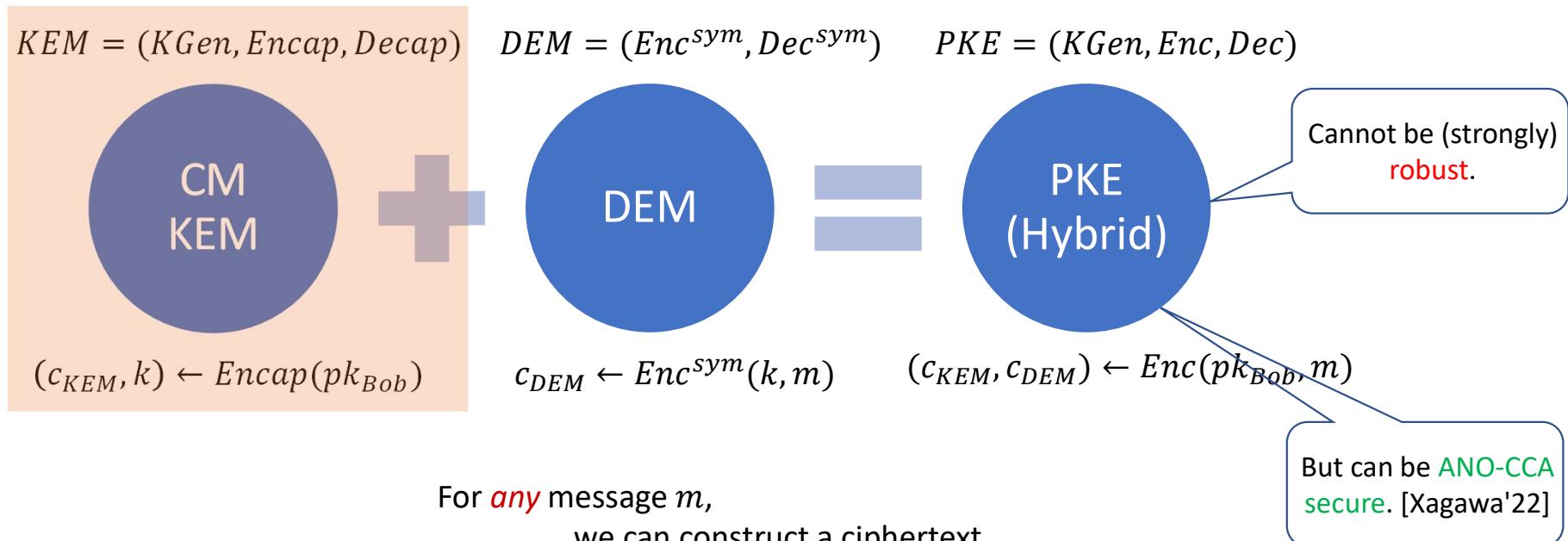
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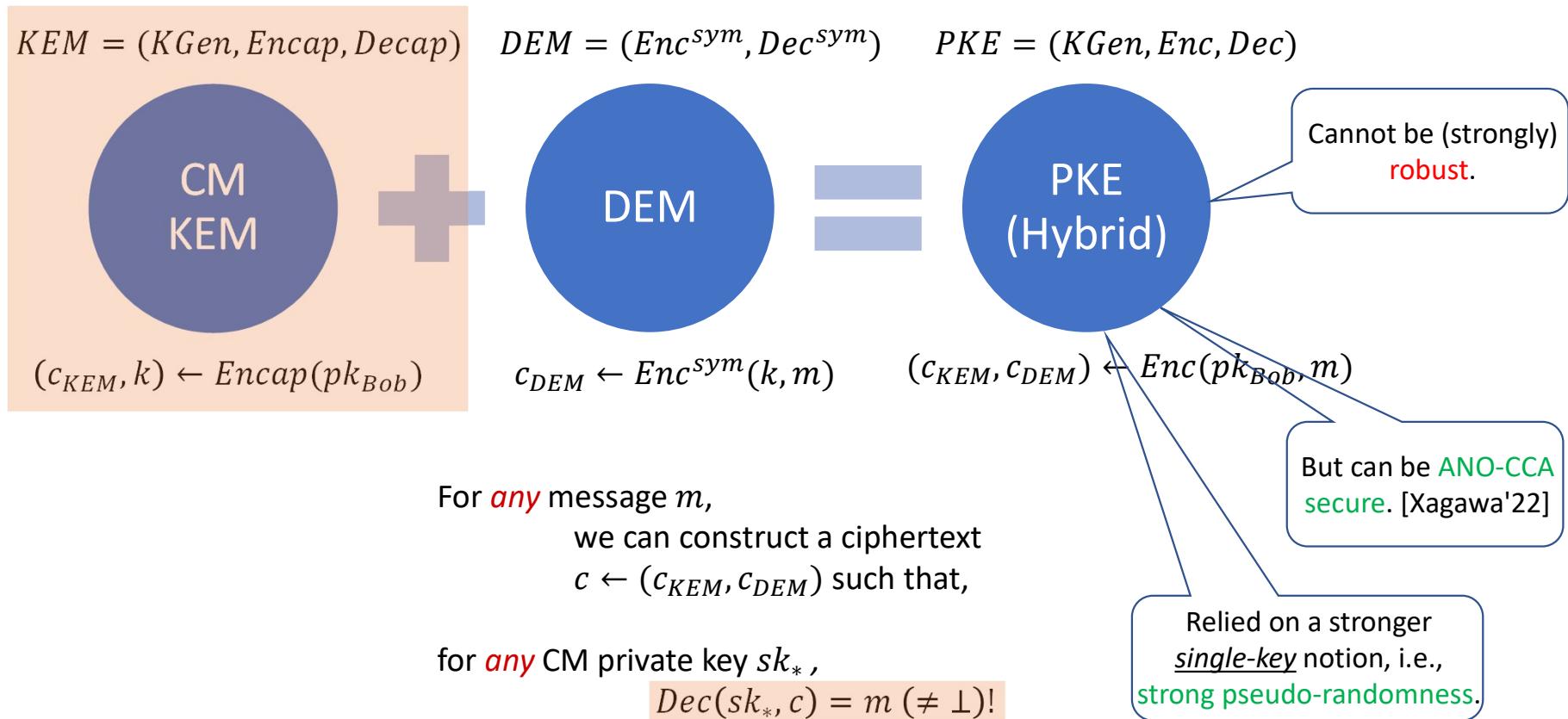
# Classic McEliece (CM)



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# Classic McEliece (CM)



# CRYSTALS-KYBER and SABER

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

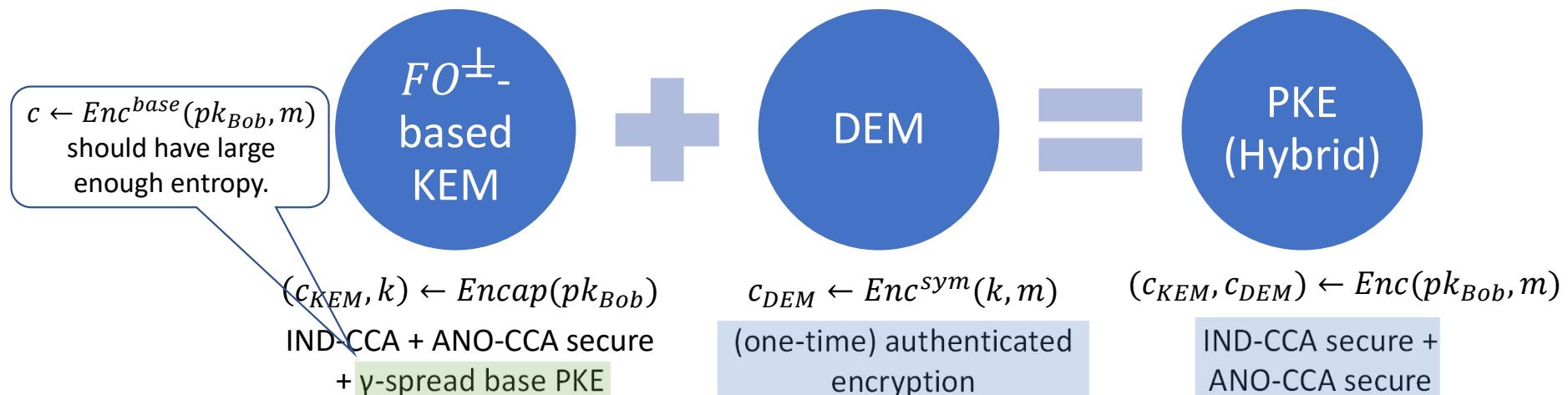
FrodoKEM

HQC

NTRU Prime

SIKE

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# CRYSTALS-KYBER and SABER

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Classic McEliece

CRYSTALS-KYBER

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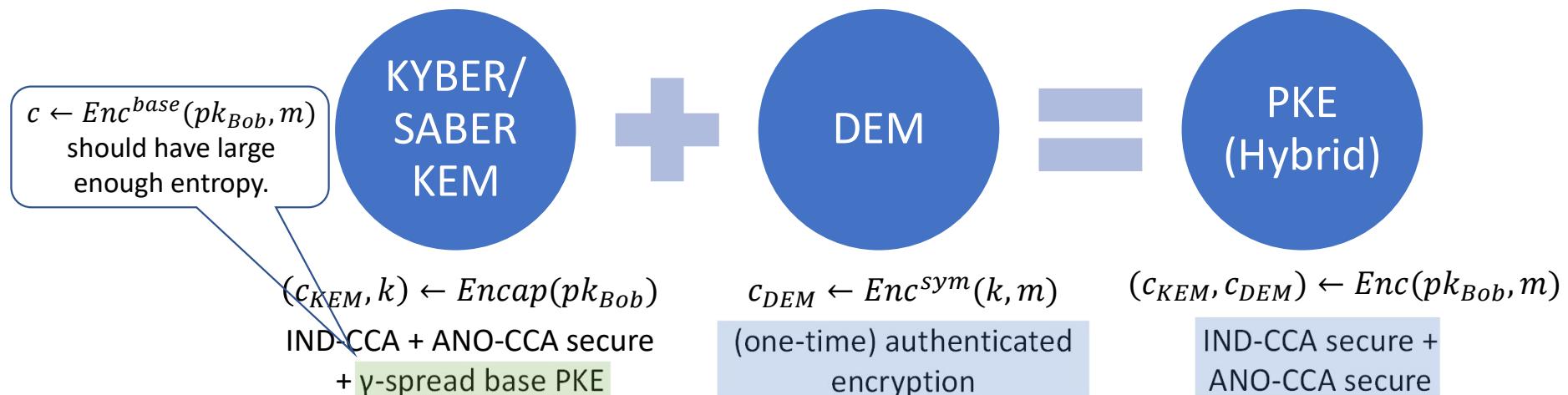
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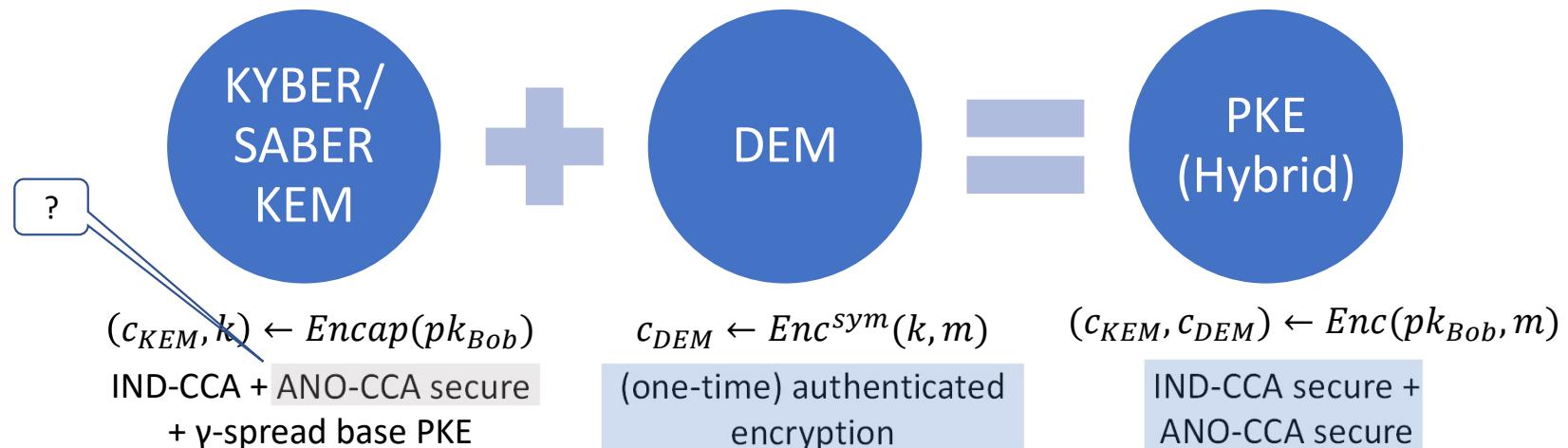
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# CRYSTALS-KYBER and SABER

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

FrodoKEM

HQC

NTRU Prime

SIKE

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
2 : $s \leftarrow_{\$} \mathcal{M}$	2 : $r \leftarrow G(m)$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
3 : $\text{sk}' = (\text{sk}, s)$	3 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	3 : $r' \leftarrow G(m')$
4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : $k \leftarrow H(m, c)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
	5 : <b>return</b> $(c, k)$	5 : <b>if</b> $c' = c$ <b>then</b>
		6 : <b>return</b> $H(m', c)$
		7 : <b>else return</b> $H(s, c)$

FO $\not\models$

# CRYSTALS-KYBER and SABER

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

FrodoKEM

HQC

NTRU Prime

SIKE

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_s \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
2 : $s \leftarrow_s \mathcal{M}$	2 : $r \leftarrow G(m)$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
3 : $\text{sk}' = (\text{sk}, s)$	3 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	3 : $r' \leftarrow G(m')$
4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : $k \leftarrow H(m, c)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
	5 : <b>return</b> $(c, k)$	5 : <b>if</b> $c' = c$ <b>then</b>
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KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_s \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, \text{pk}, F(\text{pk}), s)$
2 : $s \leftarrow_s \mathcal{M}$	2 : $m \leftarrow F(m)$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
3 : $\text{sk}' \leftarrow (\text{sk}, \text{pk}, F(\text{pk}), s)$	3 : $(\hat{k}, r) \leftarrow G(F(\text{pk}), m)$	3 : $(\hat{k}', r') \leftarrow G(F(\text{pk}), m')$
4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
	5 : $k \leftarrow \text{KDF}(\hat{k}, F(c))$	5 : <b>if</b> $c' = c$ <b>then</b>
		6 : <b>return</b> $(c, k)$
		6 : <b>return</b> $\text{KDF}(\hat{k}', F(c))$
		7 : <b>else return</b> $\text{KDF}(s, F(c))$

FO $\neq$

CRYSTALS-KYBER, Saber

# CRYSTALS-KYBER and SABER

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

FrodoKEM

HQC

NTRU Prime

SIKE

$"k \leftarrow H(m, c)"$

$"k \leftarrow H(G(m), F(c))"$

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_s \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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FO $\neq$

CRYSTALS-KYBER, Saber

# CRYSTALS-KYBER and SABER

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

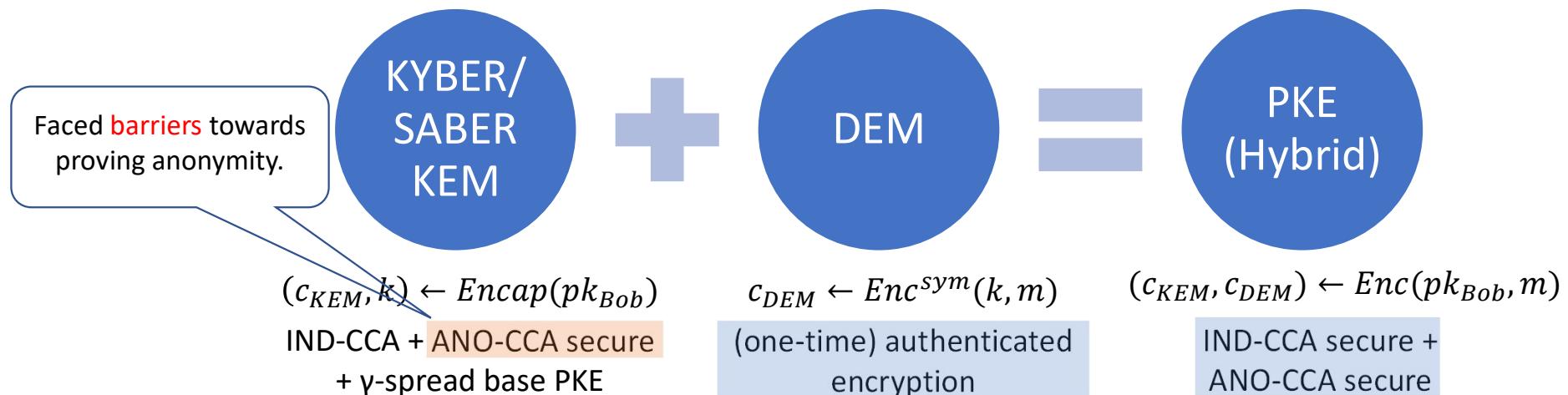
FrodoKEM

HQC

NTRU Prime

SIKE

$$KEM = (KGen, Encap, Decap) \quad DEM = (Enc^{sym}, Dec^{sym}) \quad PKE = (KGen, Enc, Dec)$$



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CRYSTALS-KYBER

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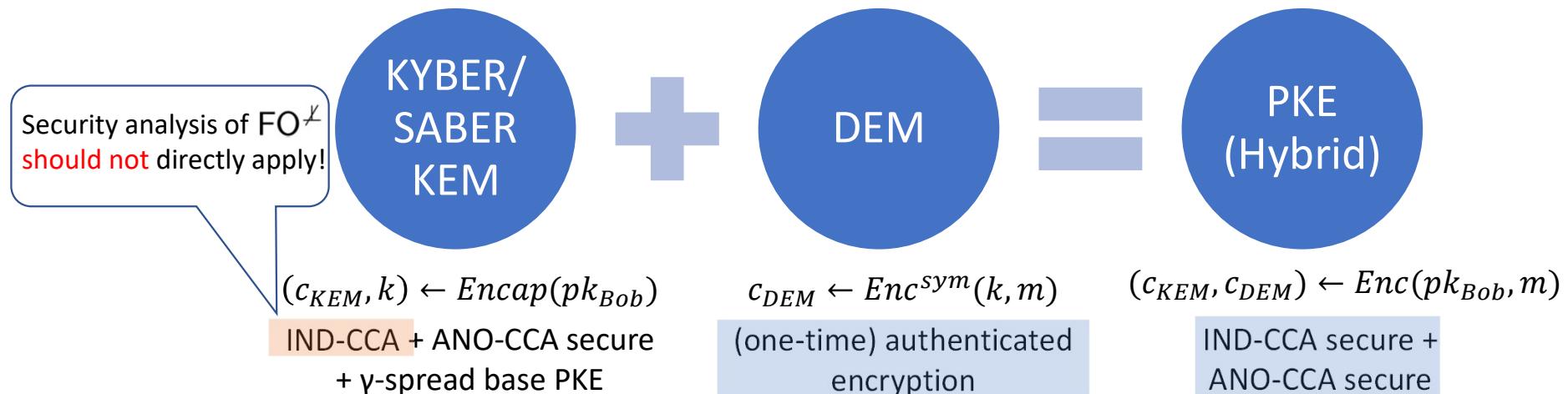
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# CRYSTALS-KYBER and SABER

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

Is “robust”.  
[Grubbs-Maram-Paterson’22]

## Public-Key Encryption/KEMs

BIKE

FrodoKEM

HQC

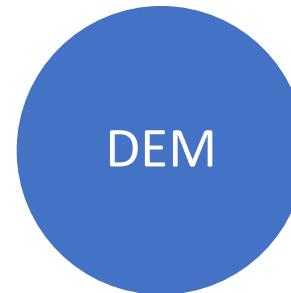
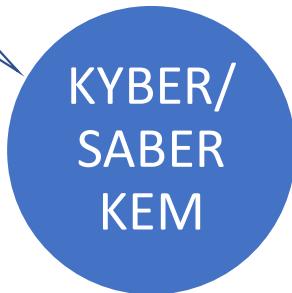
NTRU Prime

SIKE

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$$DEM = (Enc^{sym}, Dec^{sym})$$

$$PKE = (KGen, Enc, Dec)$$



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IND-CCA + ANO-CCA secure  
+  $\gamma$ -spread base PKE

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(one-time) authenticated  
encryption

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IND-CCA secure +  
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# CRYSTALS-KYBER and SABER

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Classic McEliece

CRYSTALS-KYBER

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[Grubbs-Maram-Paterson’22]

$$KEM = (KGen, Encap, Decap) \quad DEM = (Enc^{sym}, Dec^{sym}) \quad PKE = (KGen, Enc, Dec)$$

KYBER/  
SABER  
KEM



DEM

PKE  
(Hybrid)

$Decap(sk_{Bob}, c) \neq Decap(sk_{Dave}, c)$

$(c_{KEM}, k) \leftarrow Encap(pk_{Bob})$   
IND-CCA + ANO-CCA secure  
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$c_{DEM} \leftarrow Enc^{sym}(k, m)$   
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# CRYSTALS-KYBER and SABER

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

Is “robust”.  
[Grubbs-Maram-Paterson’22]

$KEM = (KGen, Encap, Decap)$

KYBER/  
SABER  
KEM

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## Public-Key Encryption/KEMs

BIKE

FrodoKEM

HQC

NTRU Prime

SIKE

Can be made  
strongly robust.

$PKE = (KGen, Enc, Dec)$

PKE  
(Hybrid)

$(c_{KEM}, c_{DEM}) \leftarrow Enc(pk_{Bob}, m)$   
IND-CCA secure +  
ANO-CCA secure

$DEM = (Enc^{sym}, Dec^{sym})$

DEM

$c_{DEM} \leftarrow Enc^{sym}(k, m)$   
(one-time) authenticated  
encryption

# FrodoKEM

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

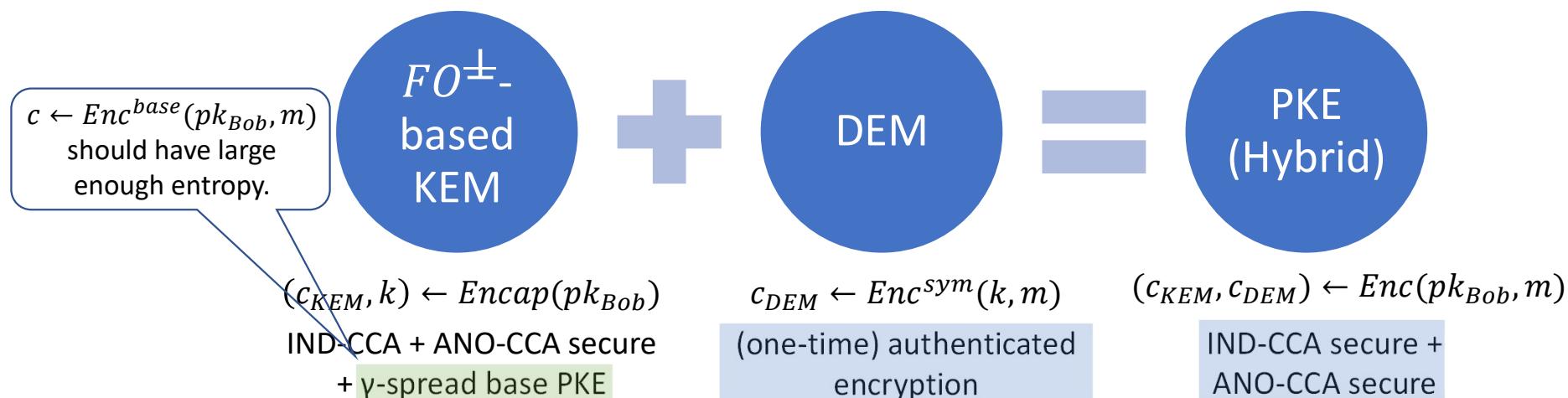
FrodoKEM

HQC

NTRU Prime

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# FrodoKEM

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Classic McEliece

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## Public-Key Encryption/KEMs

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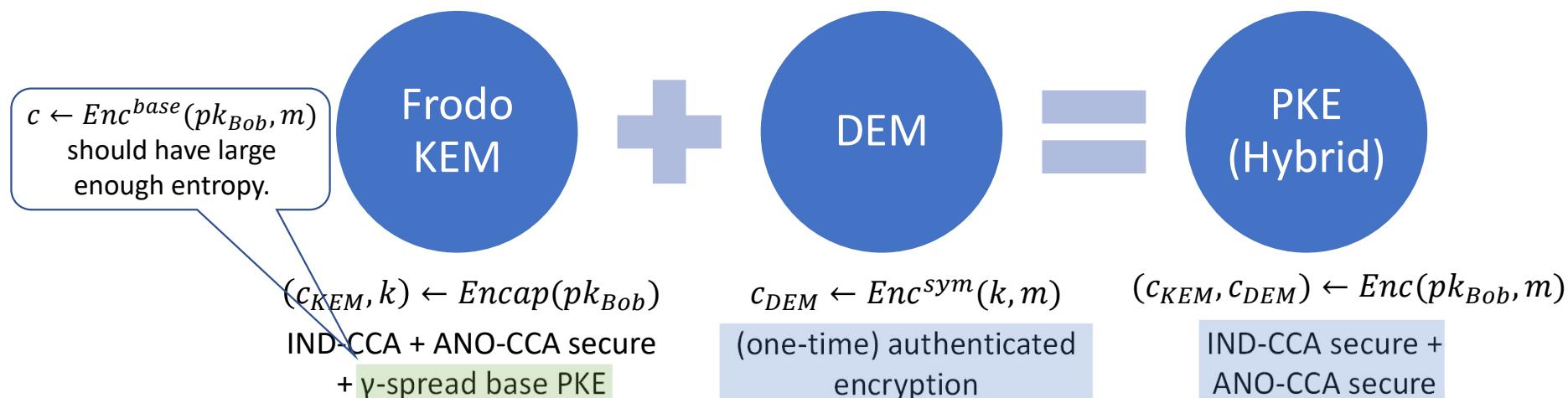
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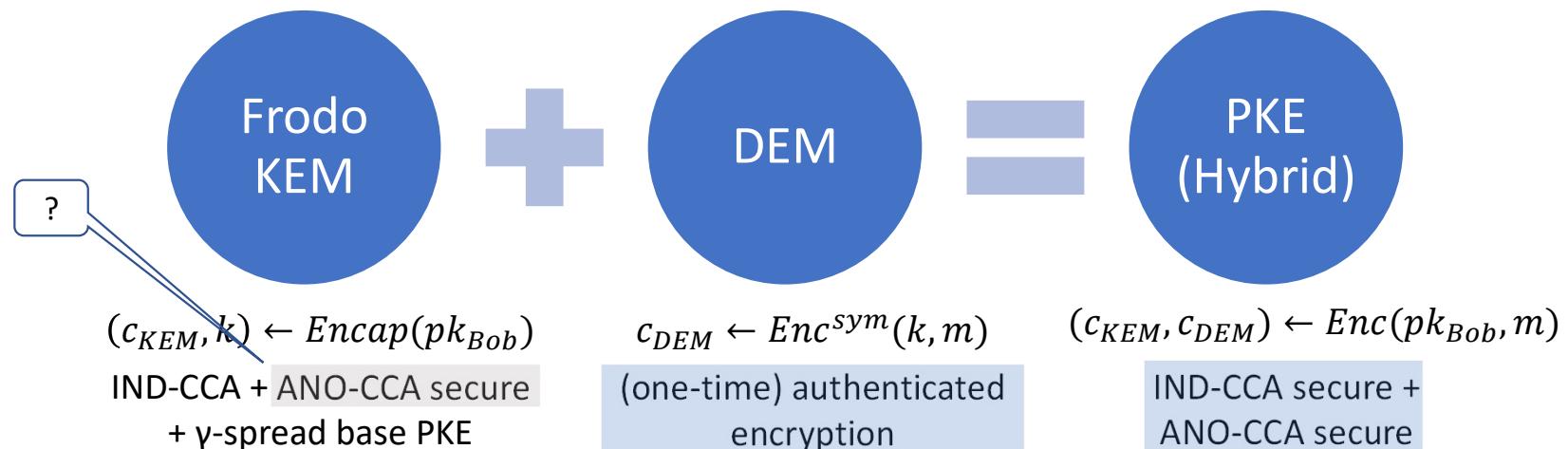
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# FrodoKEM

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Classic McEliece

CRYSTALS-KYBER

NTRU

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## Public-Key Encryption/KEMs

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SIKE

KGen'	Encap(pk)	Decap(sk', c)
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4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : $k \leftarrow H(m, c)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
	5 : <b>return</b> $(c, k)$	5 : <b>if</b> $c' = c$ <b>then</b>
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3 : $\text{sk}' \leftarrow (\text{sk}, \text{pk}, F(\text{pk}), s)$	3 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	3 : $(\hat{k}', r') \leftarrow G(F(\text{pk}), m')$
4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : $k \leftarrow H(\hat{k}, c)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
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		6 : <b>return</b> $H(\hat{k}', c)$
		7 : <b>else return</b> $H(s, c)$

FO $\neq$

FrodoKEM

# FrodoKEM

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

FrodoKEM

HQC

NTRU Prime

SIKE

$"k \leftarrow H(m, c)"$

$"k \leftarrow H(G(m), c)"$

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
2 : $s \leftarrow_{\$} \mathcal{M}$	2 : $r \leftarrow G(m)$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
3 : $\text{sk}' = (\text{sk}, s)$	3 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	3 : $r' \leftarrow G(m')$
4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : $k \leftarrow H(m, c)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
	5 : <b>return</b> $(c, k)$	5 : <b>if</b> $c' = c$ <b>then</b>
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1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, \text{pk}, F(\text{pk}), s)$
2 : $s \leftarrow_{\$} \mathcal{M}$	2 : $(\hat{k}, r) \leftarrow G(F(\text{pk}), m)$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
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$"k \leftarrow H(m, c)"$

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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		7 : <b>else return</b> $H(s, c)$

## Public-Key Encryption/KEMs

BIKE

FrodoKEM

HQC

NTRU Prime

SIKE

Only nested hashing  
of  $m$  and not  $c$ .

$"k \leftarrow H(G(m), c)"$

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, \text{pk}, F(\text{pk}), s)$
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FrodoKEM

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Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

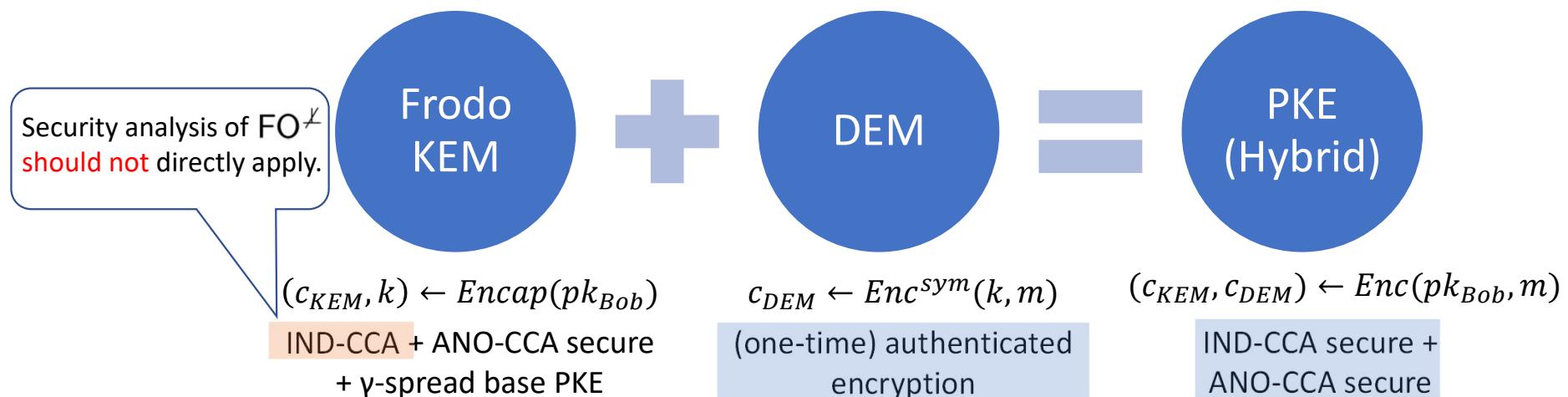
FrodoKEM

HQC

NTRU Prime

SIKE

$$KEM = (KGen, Encap, Decap) \quad DEM = (Enc^{sym}, Dec^{sym}) \quad PKE = (KGen, Enc, Dec)$$



# FrodoKEM

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

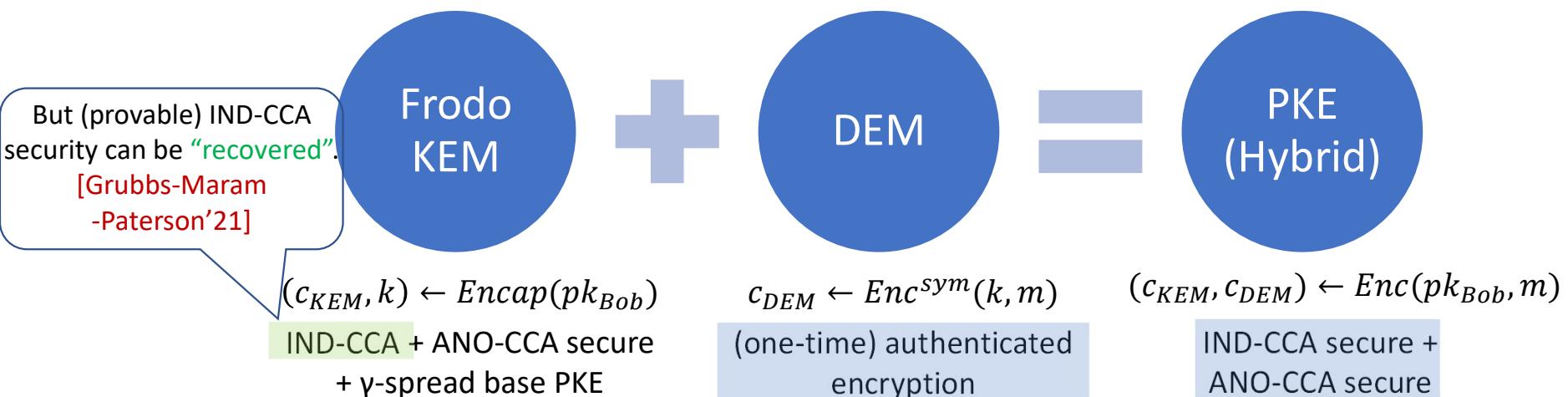
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SABER

## Public-Key Encryption/KEMs

BIKE

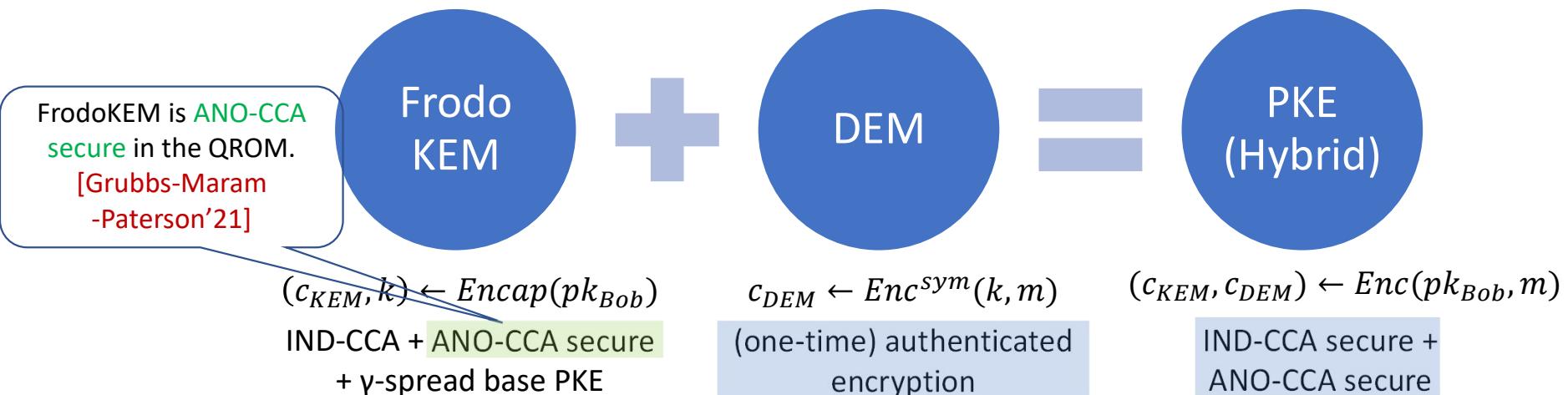
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BIKE

FrodoKEM

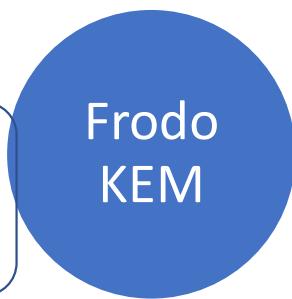
HQC

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SIKE

FrodoKEM does result in  
**anonymous** and **robust**  
PKE in a PQ setting.

$$KEM = (KGen, Encap, Decap)$$

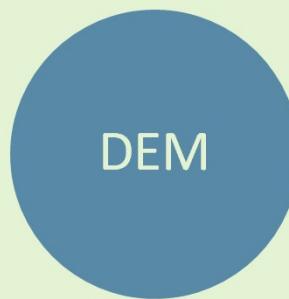


FrodoKEM is **ANO-CCA**  
**secure** in the QROM.  
[Grubbs-Maram  
-Paterson'21]

$(c_{KEM}, k) \leftarrow Encap(pk_{Bob})$   
IND-CCA + **ANO-CCA** secure  
+  $\gamma$ -spread base PKE

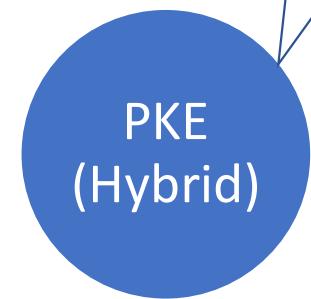


$$DEM = (Enc^{sym}, Dec^{sym})$$



$c_{DEM} \leftarrow Enc^{sym}(k, m)$   
(one-time) authenticated  
encryption

$$PKE = (KGen, Enc, Dec)$$



$(c_{KEM}, c_{DEM}) \leftarrow Enc(pk_{Bob}, m)$   
IND-CCA secure +  
ANO-CCA secure

# Other Contributions

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Encap(pk)	Decap(sk, c)
1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $c = (c_1, c_2)$
2 : $c_1 \leftarrow \text{Enc}(\text{pk}, m; G(m))$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c_1)$
3 : $c_2 \leftarrow H'(m)$	3 : $c'_1 \leftarrow \text{Enc}(\text{pk}, m'; G(m'))$
4 :	4 : <b>if</b> $c'_1 = c_1 \wedge H'(m') = c_2$ <b>then</b>
5 : $c \leftarrow (c_1, c_2)$	5 :
6 : $k = H(m, c)$	6 : <b>return</b> $H(m', c)$
7 : <b>return</b> $(c, k)$	7 : <b>else return</b> $\perp$

$\text{HFO}^{\perp}$

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$\text{HFO}^{\perp}$

Results in IND-CCA secure  
KEMs in the QROM.  
[Jiang-Zhang-Ma'19]

# Other Contributions

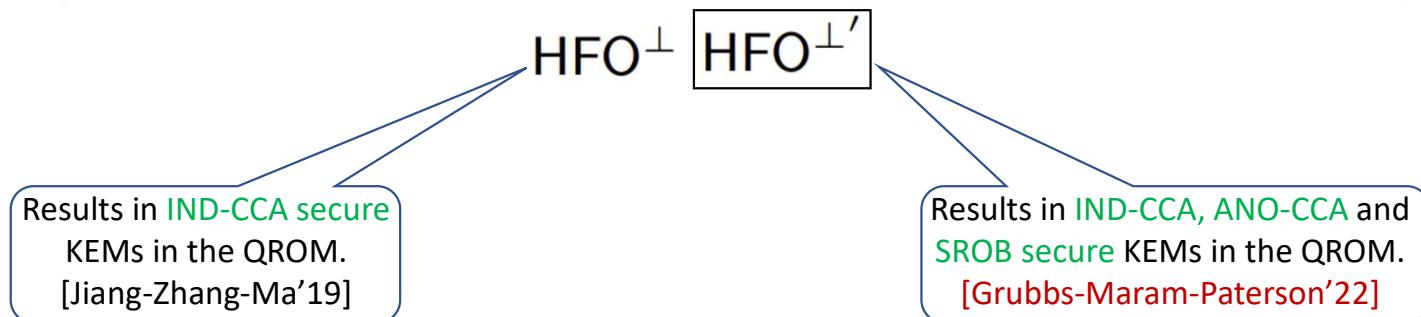
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$\text{HFO}^{\perp}$   $\boxed{\text{HFO}^{\perp'}}$

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- We identified **barriers** towards proving IND-CCA and ANO-CCA security of CRYSTALS-KYBER and SABER in the QROM.
  - At the same time, we showed they do result in **strongly robust** hybrid PKE schemes.
- Finally, we showed that FrodoKEM does result in **ANO-CCA secure** and **strongly robust** hybrid PKE schemes in the QROM.

# Extra Slides

# Classic McEliece (CM)

## 2.2.3 Encoding subroutine

The following algorithm ENCODE takes two inputs: a weight- $t$  column vector  $e \in \mathbb{F}_2^n$ ; and a public key  $T$ , i.e., an  $(n - k) \times k$  matrix over  $\mathbb{F}_2$ . The algorithm output  $\text{ENCODE}(e, T)$  is a vector  $C_0 \in \mathbb{F}_2^{n-k}$ . Here is the algorithm:

1. Define  $H = (I_{n-k} \mid T)$ .
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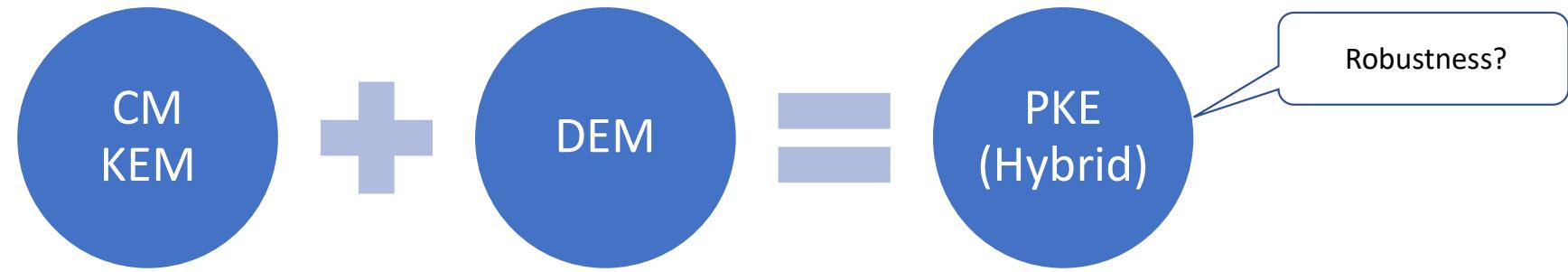
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- $(n - k \geq t$  in all CM parameters)
- $C_0 = (I_{n-k} \mid T) \begin{pmatrix} e_{n-k} \\ 0^k \end{pmatrix} = e_{n-k}$  – i.e., independent of public-key  $T$ .
- Because of perfect correctness,  $C_0$  must decrypt to fixed  $e$  under *any private key* of CM’s base PKE scheme.

# Classic McEliece (CM)

$$KEM = (KGen, Encap, Decap) \quad DEM = (Enc^{sym}, Dec^{sym}) \quad PKE = (KGen, Enc, Dec)$$



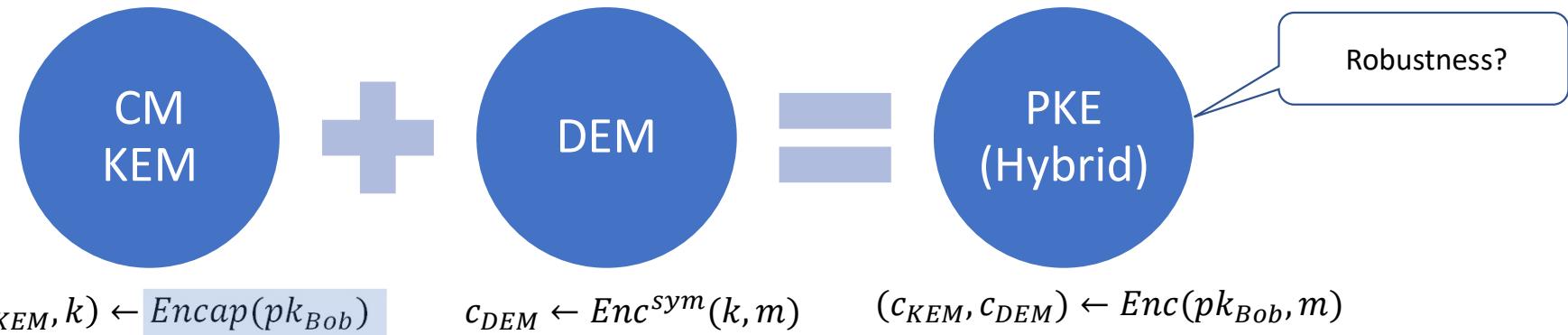
$$(c_{KEM}, k) \leftarrow Encap(pk_{Bob})$$

$$c_{DEM} \leftarrow Enc^{sym}(k, m)$$

$$(c_{KEM}, c_{DEM}) \leftarrow Enc(pk_{Bob}, m)$$

# Classic McEliece (CM)

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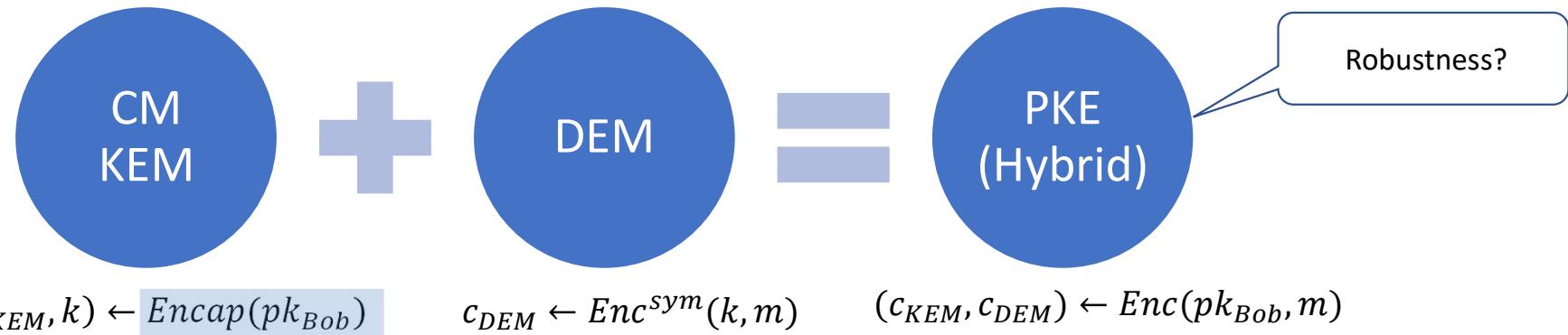
## 2.4.5 Encapsulation

The following randomized algorithm ENCAP takes as input a public key  $T$ . It outputs a ciphertext  $C$  and a session key  $K$ . Here is the algorithm:

1. Use FIXEDWEIGHT to generate a vector  $e \in \mathbb{F}_2^n$  of weight  $t$ .
2. Compute  $C_0 = \text{ENCODE}(e, T)$ .
3. Compute  $C_1 = H(2, e)$ ; see Section 2.5.2 for  $H$  input encodings. Put  $C = (C_0, C_1)$ .
4. Compute  $K = H(1, e, C)$ ; see Section 2.5.2 for  $H$  input encodings.
5. Output ciphertext  $C$  and session key  $K$ .

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## 2.4.5 Encapsulation

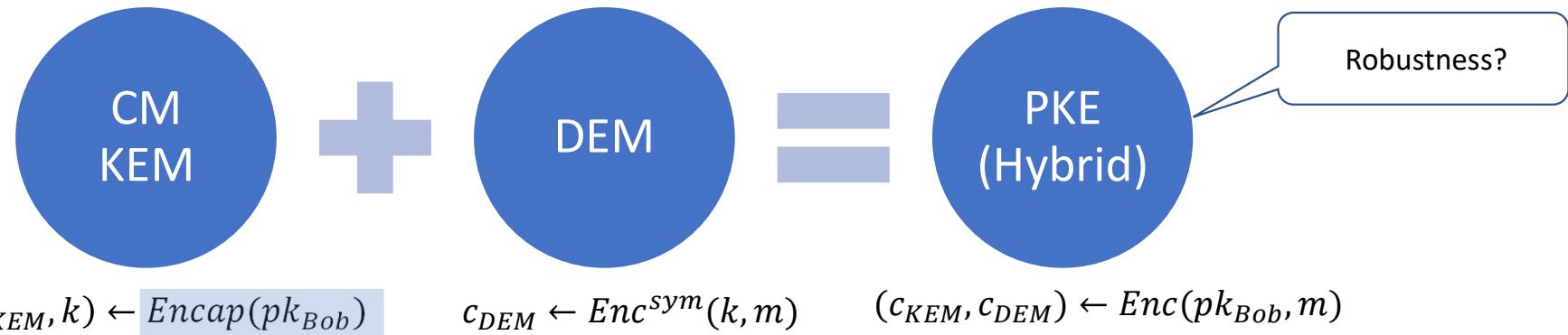
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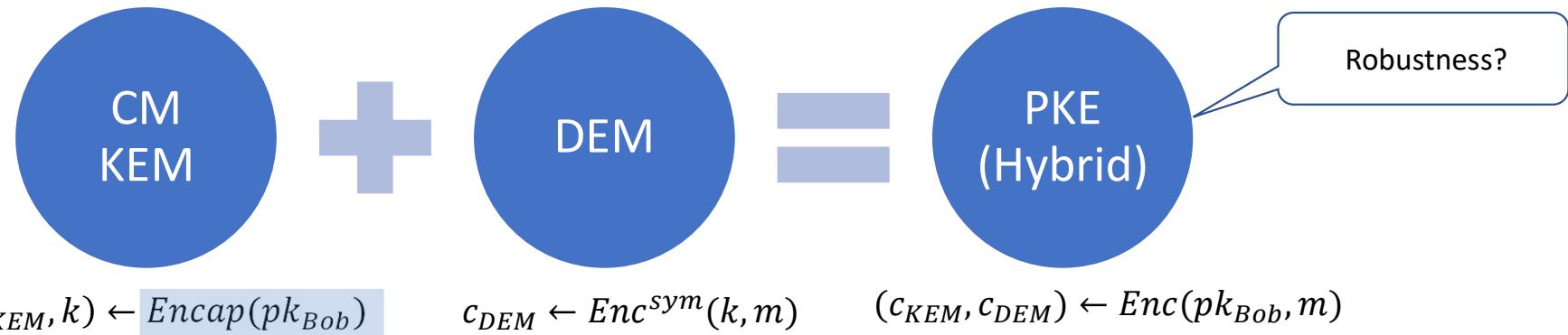
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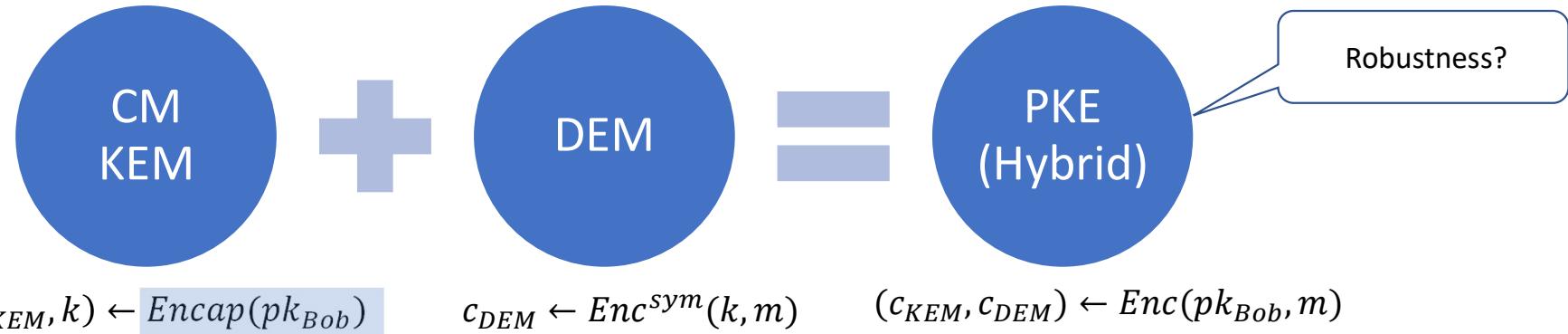
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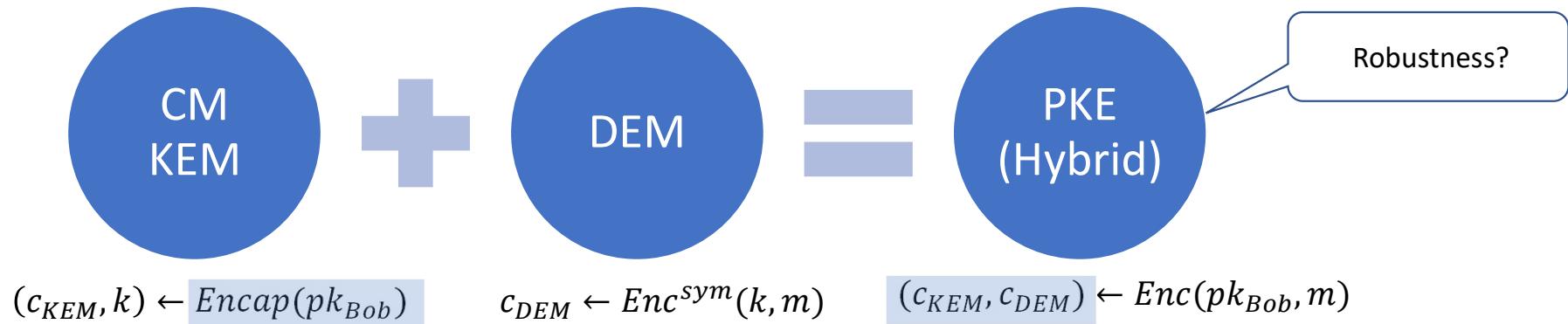
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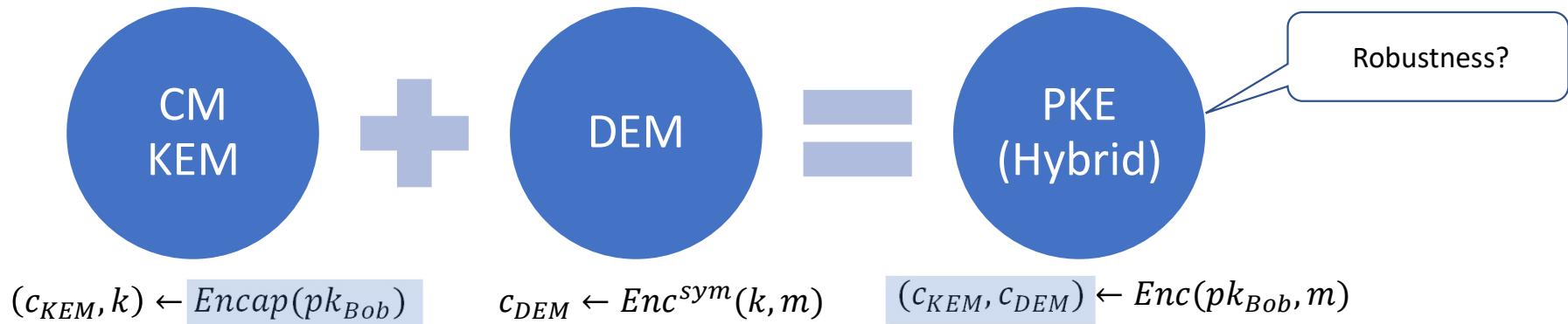
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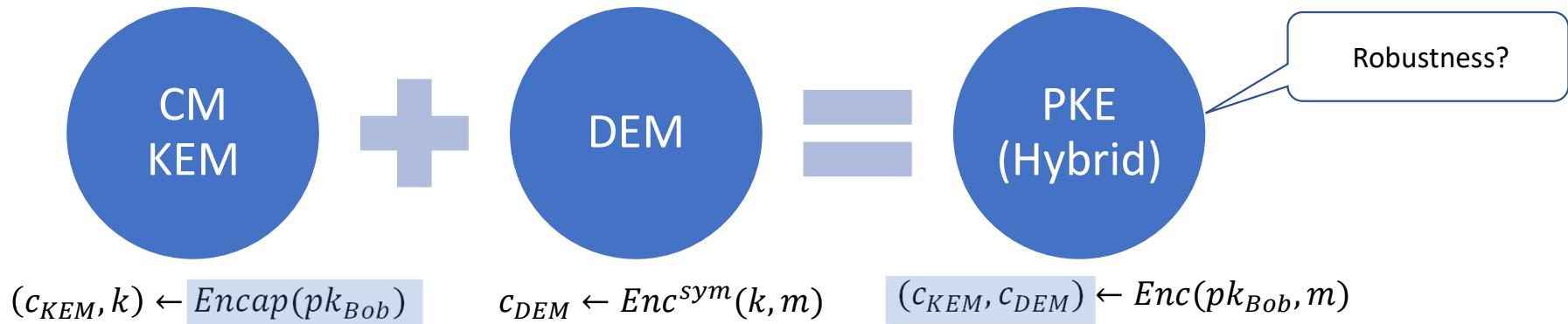
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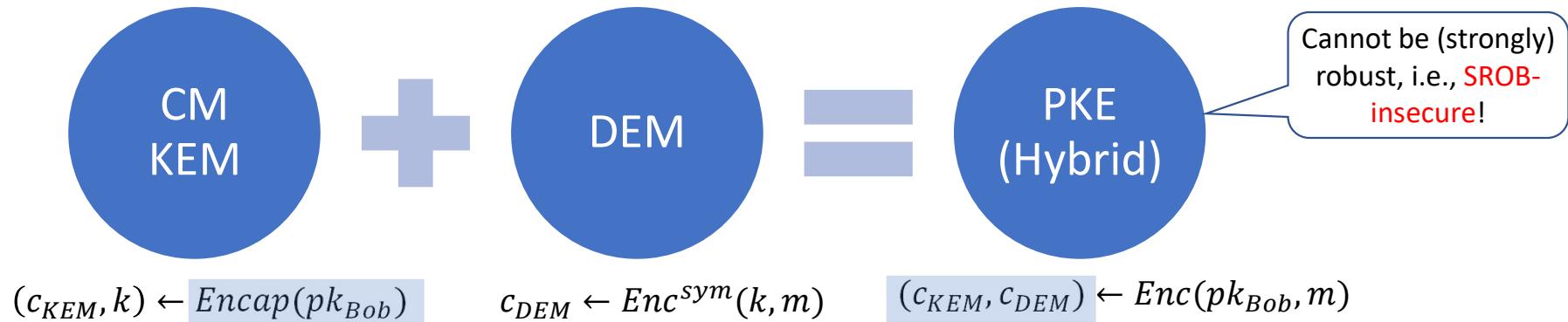
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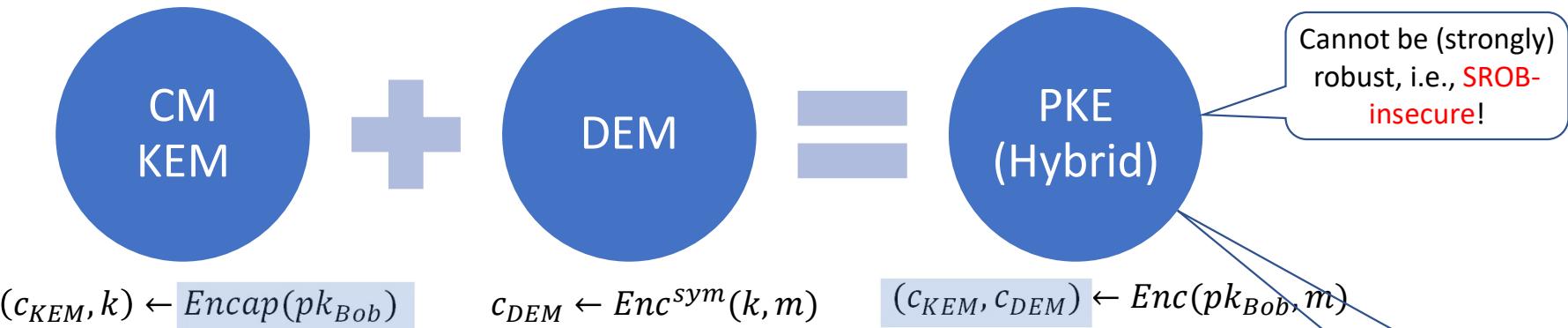
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# KEM-DEM Paradigm

## Public-Key Encryption/KEMs

Classic McEliece

CRYSTALS-KYBER

NTRU

SABER

## Public-Key Encryption/KEMs

BIKE

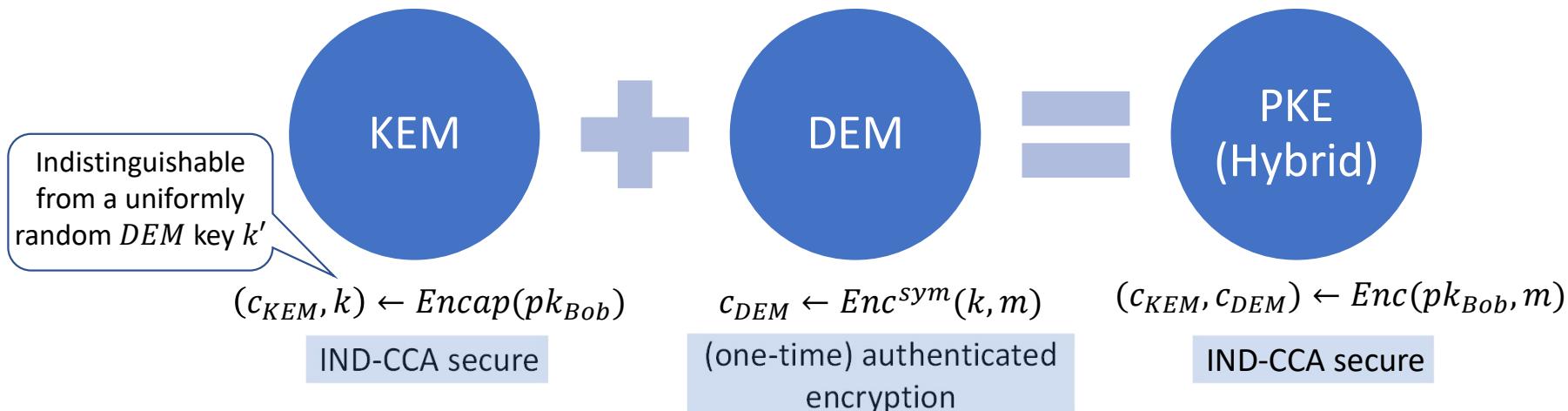
FrodoKEM

HQC

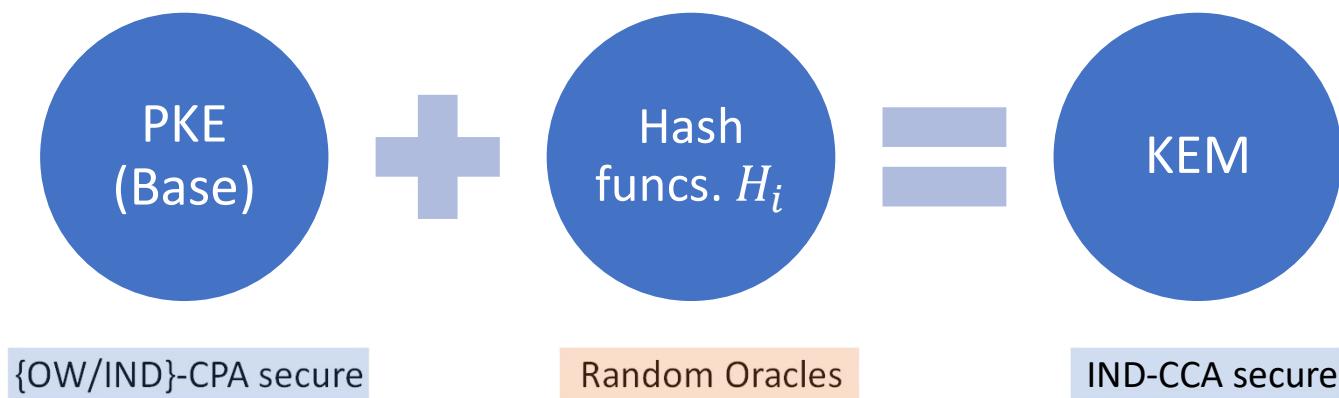
NTRU Prime

SIKE

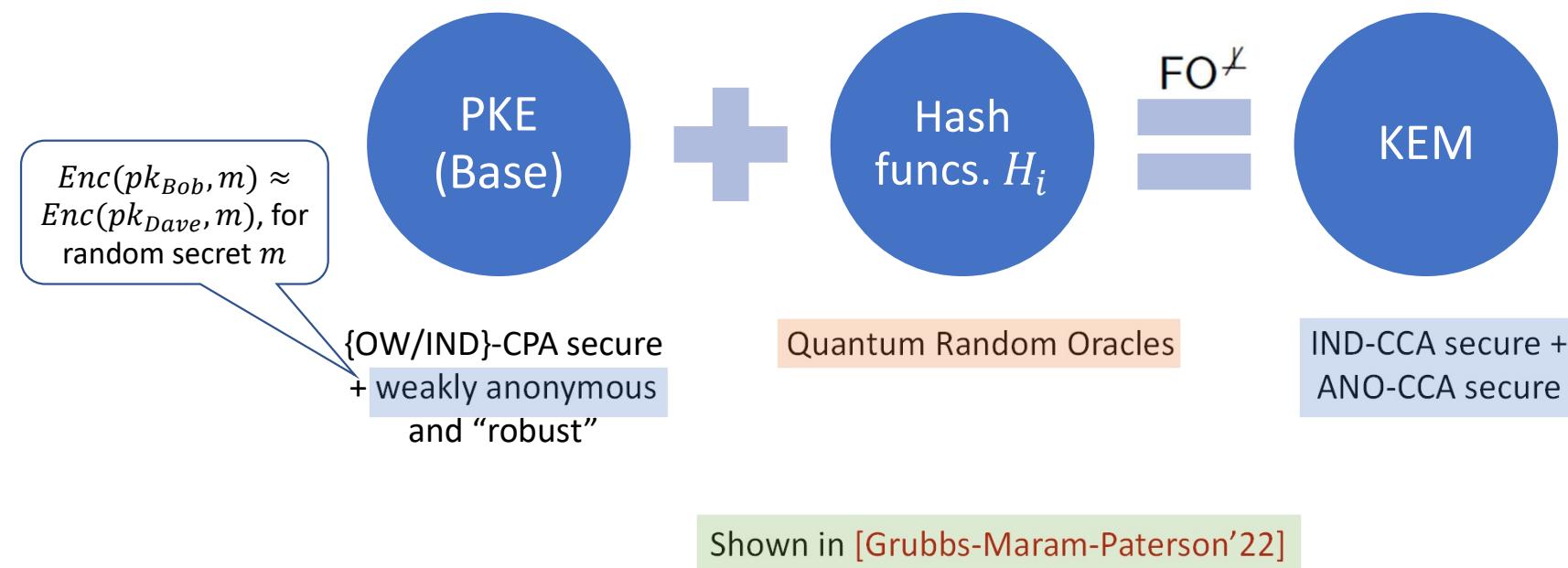
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# Fujisaki-Okamoto Transformation



# Anonymity from FO transforms



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